

## C22.0 Records Management Policy Document Retention Schedule

Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Acceptance letters (non-enrolled students) - NTID	2 years after admission	NTID	Admissions	
Advanced Placement Records (non-enrolled students) - NTID	2 years after application	NTID	Admissions	
Advertising materials, other than catalogs - NTID	5 years after compilation	NTID	Admissions	
Applications for Admissions or Readmission (non-enrolled students) - NTID	2 years after application	NTID	Admissions	
Correspondence (non-enrolled students) - NTID	2 years after application	NTID	Admissions	
Entrance Exam Reports (non-enrolled students) - NTID	2 years after application	NTID	Admissions	
Letters of Recommendation (graduate) - NTID	Until date of graduation	NTID	Admissions	
Letters of Recommendation (non-enrolled students) - NTID	2 years after application	NTID	Admissions	
Letters of Recommendation (undergraduate) - NTID	Until date of admission	NTID	Admissions	
Recruitment materials (for individual students) - NTID	Until date of enrollment	NTID	Admissions	
Residency Classification Forms (non-enrolled students) - NTID	3 years after application	NTID	Admissions	
Student Advising Files - NTID	10 years after admission	NTID	Admissions	
Transcripts - high school (non-enrolled students) - NTID	2 years after application	NTID	Admissions	
Veteran Administration Certifications - NTID	4 years after graduation/date of last attendance	NTID	Admissions	
Course Exams	2 years after end of class or appeal, whichever is greater	Academic Affairs	Appropriate College/Department	
Equipment files and maintenance records	6 years after disposition	All	Appropriate College/Department	
Academic advisement files (graduate)	3 years after graduation/7 years after initial registration	Academic Affairs	Appropriate Academic Department	
Academic advisement files (undergraduate)	3 years after graduation/date of last attendance	Academic Affairs	Appropriate Academic Department	
Advisory Board minutes, agenda and materials	Permanent	All	Appropriate Advisory Board	✓
College Strategic Planning Records	Permanent	Academic Affairs	Appropriate College	✓
Academic Conduct Committee files (cause findings)	Permanent	Academic Affairs	Appropriate College/Department	
Academic Conduct Committee files (no cause findings)	1 year after graduation	Academic Affairs	Appropriate College/Department	
Class Roster	1 year after end of quarter	Academic Affairs	Appropriate College/Department	
College/Department Office Student Files (maintained in college/department on currently enrolled students)	1 year after graduation	Academic Affairs	Appropriate College/Department	
Correspondence - relevant student specific correspondence (other than admissions)	1 year after graduation	Academic Affairs	Appropriate College/Department	
Course Evaluations (Adjunct Faculty)	3 years from end of course	Academic Affairs	Appropriate College/Department	
Course Evaluations (Lecturers)	5 years from date of hire or last promotion	Academic Affairs	Appropriate College/Department	
Course Evaluations (Non-Tenure/Tenure Track Faculty)	3 years after termination of employment	Academic Affairs	Appropriate College/Department	
Faculty Grade Books (including quiz scores, exam scores, and other student grades)	2 years after end of class or appeal, whichever is greater	Academic Affairs	Appropriate College/Department	
Lecture Series documentation, advertisements, lectures	3 years	Academic Affairs	Appropriate College/Department	✓
Student files (maintained in college/department on students currently enrolled)	1 year after graduation	Academic Affairs	Appropriate College/Department	
Syllabi (distributed to students)	6 years	Academic Affairs	Appropriate College/Department	

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Departmental Policy and Mission Statements	Permanent	All	Appropriate College/Department	✓
Equipment leases	6 years after disposition	All	Appropriate College/Department	
Performance Appraisals and related documents (faculty)	3 years after termination of employment	All	Appropriate College/Department	
Periodicals and Newsletters (departmental, administrative, and student groups)	2 years	All	Appropriate College/Department	✓
Photo Release	6 years	All	Appropriate College/Department	
Photos, Videos, Films and DVDs	Permanent	All	Appropriate College/Department	✓
Posters and Flyers (departmental, administrative, and student groups)	2 years	All	Appropriate College/Department	✓
Scholarships Awarded by Individual Colleges (records of applicants who received the scholarships)	1 year	Division of Enrollment Management	Appropriate College/Department	
Data Files and Assessment Reports	6 years	Student Affairs	Appropriate College/Department	
Committee and Task Force minutes, agenda and materials, including standing and ad hoc committees	Permanent	All	Appropriate Committee	✓
Eyewash and safety shower activation records	6 years	Academic Affairs	Appropriate Department	
Calendars (electronic and paper) - appointment books, work-related journals, and diaries	6 years	All	Appropriate Department	
Certificates of Insurance, Indemnification Agreements, Hold Harmless Agreements, Contracts	6 years after expiration	All	Appropriate Department	
Consultant contracts/files (expired)	6 years after all obligations end	All	Appropriate Department	
Consultant contracts/files (not retained/hired)	1 year after decision not to retain/hire	All	Appropriate Department	
Contracts and Agreements (not otherwise listed)	6 years after all obligations end	All	Appropriate Department	
Contracts with employees	6 years after all obligations end	All	Appropriate Department	
Correspondence, General (including emails)	6 years	All	Appropriate Department	
Facility Use Agreement	6 years	All	Appropriate Department	
Games of Chance Forms and supporting documentation - Raffles, Bingo	6 years	All	Appropriate Department	
Safety Plan Acknowledgement Form	6 years	All	Appropriate Department	
Safety Plan Visitor COVID 19 vaccination status/negative test result documentation	6 years	All	Appropriate Department	
Slack Communications	6 years	All	Appropriate Department	
Software Licenses and Support Agreements	6 years after all obligations end	All	Appropriate Department	
Voicemail Message Recordings (V2E) (ACO)	6 years	All	Appropriate Department	
Student Employment Applications and Resumes (not employed)	3 years	Student Affairs	Appropriate Department	
Student Field Trip Documentation	6 years	Student Affairs	Appropriate Department	
Study Abroad Agreements with supporting documentation	6 years after return	Student Affairs	Appropriate Department	
Governance Group minutes, agenda and materials (including Student groups)	Permanent	All	Appropriate Governance Group	✓
Student Co-op and Employer Information	6 years	Academic Affairs	Career Services and Cooperative Education Office	
Coach Certifications and Certificate of Compliance for Staff Members	6 years	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Complimentary Ticket Forms	4 years	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Equality in Athletics Disclosure Forms and supporting documents	3 years from date of required disclosure	Student Affairs	Center for Intercollegiate Athletics & Recreation	
NCAA Drug Testing Consent Forms	6 years from date of test	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Permission to Contact Self Release Form	6 years from completion of form	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Recruiting Records	6 years	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Sports Camp Documentation	6 years	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Student Athlete Affirmation of Eligibility	6 years from completion of form	Student Affairs	Center for Intercollegiate Athletics & Recreation	
Student Athlete Statements	6 years from completion of form	Student Affairs	Center for Intercollegiate Athletics & Recreation	

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Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Directories	2 years	Finance and Administration	Chief Information Officer	✓
Annual Conflict of Interest Disclosure Forms (Faculty and Staff)	6 years	Finance and Administration	Compliance and Ethics	
Complaints and Reports of Harassment or Discrimination	7 years from date of report	Finance and Administration	Compliance and Ethics	
Conflict of Interest Determinations - Employees	6 years after employment ends	Finance and Administration	Compliance and Ethics	
Conflict of Interest Disclosures - Employees	6 years after employment ends	Finance and Administration	Compliance and Ethics	
Conflict of Interest Management Plans - Employees	6 years after employment ends	Finance and Administration	Compliance and Ethics	
Disability Accommodation Records - Employees	6 years after employment ends	Finance and Administration	Compliance and Ethics	
Final Investigation Reports and Exhibits - Employees	6 years after employment ends	Finance and Administration	Compliance and Ethics	
Institute Risk Map	Permanent	Finance and Administration	Compliance and Ethics	
Motor Vehicle Records	6 years after employment ends	Finance and Administration	Compliance and Ethics	
Notice of Appeal - TIX	7 years from date appeal filed	Finance and Administration	Compliance and Ethics	
Outcome Letters	7 years from date of letter	Finance and Administration	Compliance and Ethics	
Public Inspection Files	1 year beyond last date H-1B nonimmigrant is employed under labor condition application	Finance and Administration	Compliance and Ethics	
Risk Register	Permanent	Finance and Administration	Compliance and Ethics	
Third Party Screening	5 years after transaction (OFAC requirement)	Finance and Administration	Compliance and Ethics	
Training Records	6 years	Finance and Administration	Compliance and Ethics	
Accounts payable ledger, vouchers and attachments	6 years	Finance and Administration	Controller's Office	
Accounts receivable ledger and statements	6 years	Finance and Administration	Controller's Office	
Annual Tax Payment Records (941)	6 years after returns are filed	Finance and Administration	Controller's Office	
Auditor management letters	Permanent	Finance and Administration	Controller's Office	
Banking Records, including deposit and withdrawal records, bank statements and reconciliation, voided and canceled checks	6 years	Finance and Administration	Controller's Office	
Billing Records	6 years	Finance and Administration	Controller's Office	
Bond Records (Offering, Funding, and Reimbursement)	6 years after life of bond	Finance and Administration	Controller's Office	
Budget Materials	6 years	Finance and Administration	Controller's Office	
Capital Equipment Records	Life of Asset	Finance and Administration	Controller's Office	
Cash Receipts	6 years	Finance and Administration	Controller's Office	
Chart of Accounts	Permanent	Finance and Administration	Controller's Office	
Depreciation Records	Life of Asset	Finance and Administration	Controller's Office	
Employee Fringe Benefit Payment Records (including determination of value)	6 years	Finance and Administration	Controller's Office	
Employee Reimbursement Records	6 years	Finance and Administration	Controller's Office	
Employee W-2 or 1099	6 years	Finance and Administration	Controller's Office	
Excise Tax Returns	6 years after returns are filed	Finance and Administration	Controller's Office	
Expense Reports	6 years	Finance and Administration	Controller's Office	
Federal Student Aid records (including program transactions and statements)	6 years	Finance and Administration	Controller's Office	
Financial Records, supporting documents, statistical records, and all other records pertinent to an award (Federal awards)	3 years from the date of submission of the final report	Finance and Administration	Controller's Office	
Financial Records, supporting documents, statistical records, and all other records pertinent to an award (New York State awards)	6 years from the date of submission of the final report	Finance and Administration	Controller's Office	
Financial Statements (audited) and work papers	6 years	Finance and Administration	Controller's Office	
Imputed Income Records	6 years	Finance and Administration	Controller's Office	
Income Tax Returns	6 years after returns are filed	Finance and Administration	Controller's Office	

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Indirect Cost Rate Calculations	3 years following end of effective date	Finance and Administration	Controller's Office	
Information Returns (990, 1099, 8282, etc.)	6 years after returns are filed	Finance and Administration	Controller's Office	
Information Returns filed with Federal and State authorities (Census Bureau, Labor Department, Questionnaires, etc.)	6 years after returns are filed	Finance and Administration	Controller's Office	
Investment Consultant Reports	6 years	Finance and Administration	Controller's Office	
Investment Manager Contracts (including correspondence)	6 years after all obligations end	Finance and Administration	Controller's Office	
Investment Performance Reports	6 years	Finance and Administration	Controller's Office	
Invoices	6 years	Finance and Administration	Controller's Office	
IRS exemption determination and related correspondence	Permanent	Finance and Administration	Controller's Office	
Journal Entries with backup	6 years	Finance and Administration	Controller's Office	
Overpayment/Repayment of Wages Forms	6 years after termination of employment	Finance and Administration	Controller's Office	
Payroll Deduction Authorization Forms	6 years after employment ends	Finance and Administration	Controller's Office	
Payroll Vouchers	3 years	Finance and Administration	Controller's Office	
Post award Grant and Contract Files, not including financial records - financial records	9 years after end of fiscal year in which transaction occurred	Finance and Administration	Controller's Office	
Post Award Grant and Contract Files, not including financial records (New York State awards)	6 years after close of grant	Finance and Administration	Controller's Office	
Post Ward Grant and Contract Files, not including financial records (Federal awards)	3 years after close of grant	Finance and Administration	Controller's Office	
Private Grants, Contract Documents and Files	3 years after end of all obligations	Finance and Administration	Controller's Office	
Private Use Records	6 years after life of bond	Finance and Administration	Controller's Office	
Record of Payments and Deductions	5 years	Finance and Administration	Controller's Office	
Sales Tax Returns	6 years after returns are filed	Finance and Administration	Controller's Office	
Tax Audit closing letters	Permanent	Finance and Administration	Controller's Office	
Time Cards (including student employees)	3 years	Finance and Administration	Controller's Office	
Trial Balances	6 years	Finance and Administration	Controller's Office	
Wage Assignment Orders	5 years after close	Finance and Administration	Controller's Office	
Subpoenas (Information Subpoenas) received by Payroll	Permanent	Finance and Administration	Controller's Office/Payroll	
Repair Service Documentation	6 years	Finance and Administration	Digital Den	
Sales Receipts (computers/devices, software, accessories, photo equipment/accessories, etc.) hard copy and electronic records	6 years	Finance and Administration	Digital Den	
Acceptance letters (non-enrolled students)	2 years after admission	Division of Enrollment Management	Enrollment Management	
Advanced Placement Records (non-enrolled students)	2 years after application	Division of Enrollment Management	Enrollment Management	
Advertising materials, other than catalogs	5 years after compilation	Division of Enrollment Management	Enrollment Management	
Applications for Admission or Readmission (non-enrolled students)	2 years after application	Division of Enrollment Management	Enrollment Management	
Career/Employment Placement Records	6 years after graduation	Division of Enrollment Management	Enrollment Management	
Correspondence (non-enrolled students)	2 years after application	Division of Enrollment Management	Enrollment Management	
Entrance Exam Reports (non-enrolled students)	2 years after application	Division of Enrollment Management	Enrollment Management	
Letters of Recommendation (graduate)	Until date of graduation	Division of Enrollment Management	Enrollment Management	
Letters of Recommendation (non-enrolled students)	2 years after application	Division of Enrollment Management	Enrollment Management	
Letters of Recommendation (undergraduate)	Until date of admission	Division of Enrollment Management	Enrollment Management	
Recruitment materials (for individual students)	Until date of enrollment	Division of Enrollment Management	Enrollment Management	
Residency Classification Forms (non-enrolled students)	3 years after application	Division of Enrollment Management	Enrollment Management	

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Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Student Advising Files	10 years after admission	Division of Enrollment Management	Enrollment Management	
Transcripts - high school (non-enrolled students)	2 years after application	Division of Enrollment Management	Enrollment Management	
Veteran Administration Certifications	4 years after graduation/date of last attendance	Division of Enrollment Management	Enrollment Management	
Chemical Inventories	6 years	Finance and Administration	Environmental Health and Safety	
DHS Records	Permanent	Finance and Administration	Environmental Health and Safety	
EH&S Incident Records	5 years	Finance and Administration	Environmental Health and Safety	
Elevator Certifications	6 years	Finance and Administration	Environmental Health and Safety	
Evacuation Drill Records	6 years	Finance and Administration	Environmental Health and Safety	
Fire Inspection Systems Records and Reports	6 years	Finance and Administration	Environmental Health and Safety	
Hazardous Waste Disposal Manifests and Reports	6 years	Finance and Administration	Environmental Health and Safety	
Material Safety Data Sheets	40 years	Finance and Administration	Environmental Health and Safety	
OSHA Illness or Injury Records or Logs	40 years	Finance and Administration	Environmental Health and Safety	
OSHA Safety and Health Training Reports	6 years	Finance and Administration	Environmental Health and Safety	
Portable Extinguisher Training Records	6 years	Finance and Administration	Environmental Health and Safety	
Quarterly Lab Inspections	6 years	Finance and Administration	Environmental Health and Safety	
Radiation Dose Reports	Permanent	Finance and Administration	Environmental Health and Safety	
Radioactive Material Receiving and Inventory Records	6 years	Finance and Administration	Environmental Health and Safety	
Direct Loan Counseling Records (Entrance)	6 years	Division of Enrollment Management	Financial Aid and Scholarships	
Federal Direct Student Loan Account Reconciliations	6 years	Division of Enrollment Management	Financial Aid and Scholarships	
Federal Direct Student Loan Account Reconciliations (awards, origination, disbursements)	6 years	Division of Enrollment Management	Financial Aid and Scholarships	
Federal Student Aid awards, grants, fellowships, scholarships, loans, work study, and records used to determine eligibility	6 years	Division of Enrollment Management	Financial Aid and Scholarships	
Fiscal Operations Report/Application for Funding (FISAP)	6 years	Division of Enrollment Management	Financial Aid and Scholarships	
Program Participation Agreements	Permanent	Division of Enrollment Management	Financial Aid and Scholarships	
Title IV Grants (originated through Department of Education Common Origination and Disbursement System)	6 years	Division of Enrollment Management	Financial Aid and Scholarships	
Critical Incident Files	6 years	Finance and Administration	Global Risk Management Services	
Insurance Applications (including documentation)	6 years	Finance and Administration	Global Risk Management Services	
Insurance Policies (liability, property, and other policies)	Permanent	Finance and Administration	Global Risk Management Services	
Threat Assessment Team Files	6 years after creation or close of file (whichever is greater)	Finance and Administration	Global Risk Management Services	
Unlitigated Claims	6 years after settlement	Finance and Administration	Global Risk Management Services	
Graduate Student Advising Files	1 year after graduation/last date of attendance	Academic Affairs	Graduate Studies	
Higher Education Opportunity Program application materials (accepted students)	6 years after last date of contact	Student Affairs	HEOP Office	
Higher Education Opportunity Program application materials (for prospective applicants)	1 year	Student Affairs	HEOP Office	
Performance Appraisals and related documents (staff)	3 years after termination of employment	All	Human Resources	
Department Heads and Chairs Resumes	Permanent	Finance and Administration	Human Resources	✓
Disability and Sick Benefit Records	6 years from date of termination of employment	Finance and Administration	Human Resources	
Employee Background Checks (including Controlled Substance Test Results)	6 years after employment ends	Finance and Administration	Human Resources	
Employee Benefit Plan Documents and All Attached Amendments	Permanent	Finance and Administration	Human Resources	
Employee Elections/Referrals	6 years after employment ends	Finance and Administration	Human Resources	

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Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Employee Eligibility for Benefits	6 years after death of eligible employee of beneficiary	Finance and Administration	Human Resources	
Employee Medical Documentation (store separate from personnel file)	6 years from termination of employment	Finance and Administration	Human Resources	
Employee Orientation and Training Materials	Permanent	Finance and Administration	Human Resources	✓
Employee Pay Statements	6 years from date of statement	Finance and Administration	Human Resources	
Employee Service Records	6 years after death of eligible employee of beneficiary	Finance and Administration	Human Resources	
Employment Applications and Resumes (employees)	6 years after employment ends	Finance and Administration	Human Resources	
Employment Applications and Resumes (non-employees)	3 years	Finance and Administration	Human Resources	
Federal Reporting Requirements: welfare benefits and other fringe benefit plans	Permanent	Finance and Administration	Human Resources	
Form 5500 (including supporting documentation)	6 years	Finance and Administration	Human Resources	
Grievances (Staff)	6 years from termination	Finance and Administration	Human Resources	
Grievances (Staff) - audio recordings of Grievance Committee Hearings	Upon issuance of the Grievance Committee written recommendation	Finance and Administration	Human Resources	
Human Resources Discrimination and Harassment Files	6 years from termination of employee, or 10 years from date of initial complaint, whichever is shorter	Finance and Administration	Human Resources	✓
Internal Human Resources Employee Investigations (Hearing Panel Approach - no violation found) includes notes, reports and documentation	6 years from date of termination of Employee or 10 years from date of initial complaint, whichever is shorter	Finance and Administration	Human Resources	
Internal Human Resources Employee Investigations (Hearing Panel Approach - violation found) includes notes, reports and documentation	6 years from date of termination of Employee	Finance and Administration	Human Resources	
Internal Human Resources Employee Investigations (non-Hearing Panel Approach) includes notes, reports and documentation	6 years from date of termination of Employee	Finance and Administration	Human Resources	
IRS Form I-9 (store separate from personnel file)	3 years after date of hire or 1 year after end of service, whichever is longer	Finance and Administration	Human Resources	
Labor Certifications (including recruitment materials and supporting documentation)	5 years from filing of certification	Finance and Administration	Human Resources	
Leave Reporting Documents	4 years	Finance and Administration	Human Resources	
Personnel Files, Appointment Letters and Forms	6 years from date of termination of employment	Finance and Administration	Human Resources	
Search Committee Records (including committee members' notes) - candidates not hired	3 years	Finance and Administration	Human Resources	
Search Committee Records (including committee members' notes) - hired candidate	6 years after employment ends	Finance and Administration	Human Resources	
Summary Plan Descriptions	Permanent	Finance and Administration	Human Resources	
Title IX documentation (Policy C27.0) Employees	7 years from incident reporting date	Finance and Administration	Human Resources	
Unemployment Insurance Claims and Supporting Documents	6 years	Finance and Administration	Human Resources	
Workers Compensation Claims and Supporting Documents	18 years	Finance and Administration	Human Resources	
Human Subject Records - Faculty/Staff exempt projects	3 years from submission	Office of the Vice President for Research	Human Subjects Research	
Human Subject Records - Student exempt projects	1 year from submission	Office of the Vice President for Research	Human Subjects Research	



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Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Human Subject Records - Student, Faculty/Staff non-exempt (Expedited and Board Reviewed)	3 years from conclusion of project	Office of the Vice President for Research	Human Subjects Research	
Notary Public (e-Notary only) video and/or audio recordings	10 years	All	Individual Notary Public	
Notary Public Journal of all notarial acts performed (traditional Notary and e-Notary)	10 years	All	Individual Notary Public	
Internal Audit Reports (all engagement types)	Permanent	Finance and Administration	Institute Audit, Compliance and Advisement	
Internal Audits/Reviews/Files and Work Papers (all engagement types)	10 years	Finance and Administration	Institute Audit, Compliance and Advisement	
Institutional Research, Data & Analytics Internal Analyses	6 years	Finance and Administration	Institutional Research, Data & Analytics	✓
Institutional Research, Data & Analytics Reports (internal and external)	3 years	Finance and Administration	Institutional Research, Data & Analytics	✓
Integrated Postsecondary Education Data Systems (IPEDS) Reports	3 years	Finance and Administration	Institutional Research, Data & Analytics	✓
New York State Education Department Surveys, Reports, and Registrations	3 years	Finance and Administration	Institutional Research, Data & Analytics	✓
Invention Disclosure Forms	Permanent	Office of the Vice President for Research	Intellectual Property Management Office	
Licensing Agreements	6 years from termination of Agreement	Office of the Vice President for Research	Intellectual Property Management Office	
Original Patents and related work papers	Permanent	Office of the Vice President for Research	Intellectual Property Management Office	✓
Royalty Records	Life of Patent or Trademark, plus 6 years	Office of the Vice President for Research	Intellectual Property Management Office	
International Student Financial Documents	6 years after graduation	Student Affairs	International Student Office	
Residency Certificates	Until date of enrollment	Student Affairs	International Student Office	
Student Immigration Information (I20, DS2019)	6 years after graduation	Student Affairs	International Student Office	
Student Visa Documents	6 years after graduation	Student Affairs	International Student Office	
Affirmative Action Plans (including documentation)	Permanent	Finance and Administration	Legal Affairs	✓
Court Orders	Permanent	Finance and Administration	Legal Affairs	
Settlements	Permanent	Finance and Administration	Legal Affairs	
Consent Orders	Permanent	Finance and Administration	Legal Affairs	
EEO Reports	Permanent	Finance and Administration	Legal Affairs	
Judgments	Permanent	Finance and Administration	Legal Affairs	
Litigated Claims	6 years after settlement	Finance and Administration	Legal Affairs	
Releases - Litigation Matters	Permanent	Finance and Administration	Legal Affairs	
Subpoenas and Responsive Documentation	Permanent	Finance and Administration	Legal Affairs	
Original Trademark and related paperwork	Permanent	All	Marketing and Communications	✓
Agenda for Action - NTID	Permanent	NTID	NTID Office of the President	✓
AITU Reports - NTID	6 years	NTID	NTID Office of the President	
Calendars, Appointment - NTID President	6 years	NTID	NTID Office of the President	✓
Correspondence, General - NTID President	6 years	NTID	NTID Office of the President	✓
Presidential Medallion Records - NTID	Permanent	NTID	NTID Office of the President	✓
Presidential Recognition Citations - NTID	Permanent	NTID	NTID Office of the President	✓
Presidential Speeches and Presentations - NTID	Permanent	NTID	NTID Office of the President	✓
Strategic Planning Records - NTID	Permanent	NTID	NTID Office of the President	✓
Faculty Mid-Tenure Comprehensive Review Documentation	6 years	Academic Affairs	Office of the Dean of the Appropriate College	
Agenda for Action	Permanent	Office of the President	Office of the President	✓
AITU Reports	6 years	Office of the President	Office of the President	
Calendars, Appointment - President	6 years	Office of the President	Office of the President	✓
Correspondence, General - President	6 years	Office of the President	Office of the President	✓
Presidential Medallion Records	Permanent	Office of the President	Office of the President	✓
Presidential Recognition Citations	Permanent	Office of the President	Office of the President	✓
Presidential Speeches and Presentations	Permanent	Office of the President	Office of the President	✓
Strategic Planning Records	Permanent	Office of the President	Office of the President	✓
Online Learning Materials	2 years after last use	Academic Affairs	Online Learning	
Parking Tickets (paid and unpaid)	6 years	Finance and Administration	Parking and Transportation Services	

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Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Bids (accepted)	6 years after all obligations end	Finance and Administration	Procurement Services Office	
Bids (rejected) <\$ 100,000	1 year	Finance and Administration	Procurement Services Office	
Bids (rejected) >\$ 100,000	6 years	Finance and Administration	Procurement Services Office	
Purchase Orders (including supporting documentation)	6 years	Finance and Administration	Procurement Services Office	
Request for Proposals	6 years	Finance and Administration	Procurement Services Office	
Accreditation Reports and supporting documents	Permanent	Academic Affairs	Provost	✓
Dismissal for Cause Documentation (Faculty) - Cause Finding	Permanent	Academic Affairs	Provost	
Dismissal for Cause Documentation (Faculty) - No Cause Finding	3 years from determination	Academic Affairs	Provost	
Faculty Curriculum Vitae	Permanent	Academic Affairs	Provost	✓
Faculty Tenure or Promotion Documentation - Tenure Approved	3 years	Academic Affairs	Provost	
Institute Policies and Procedures Manual	Permanent	Office of the Provost	Provost	✓
Faculty Tenure or Promotion Documentation - Tenure Denied	3 years from date of term appointment for tenure; 3 years from date of denial for other promotions	Academic Affairs	Provost	
Grievances (Faculty) - Cause finding	6 years from termination	Academic Affairs	Provost	
Grievances (Faculty) - No Cause finding	3 years from determination	Academic Affairs	Provost	
Access Control Records	6 years	Finance and Administration	Public Safety	
Accident Reports	4 years after report date	Finance and Administration	Public Safety	
Clery Act and Uniform Crime Reports	4 years after report date	Finance and Administration	Public Safety	
Crime Reports	4 years after report date	Finance and Administration	Public Safety	
Monthly Health and Safety Inspection Reports	6 years	Finance and Administration	Public Safety	
Notification of Ban from Campus	6 years	Finance and Administration	Public Safety	
Property Damage Reports	4 years after report date	Finance and Administration	Public Safety	
Public Safety Officer Daily Activity Logs	4 years	Finance and Administration	Public Safety	
Deeds	Permanent	Finance and Administration	Real Estate Office	✓
Real Property Leases (current and expired)	6 years after all obligations end	Finance and Administration	Real Estate Office	
Acceptance letters (enrolled students)	10 years after admission	Academic Affairs	Registrar	
Advanced Placement Records, Applications for Admission or Readmission, correspondence that is relevant, Entrance Exam reports (enrolled students)	10 years after admission	Academic Affairs	Registrar	
Certification of Graduation	Permanent	Academic Affairs	Registrar	
Change of Major Requests	6 years after graduation/date of last attendance	Academic Affairs	Registrar	
Change to Student ID Number	Permanent	Academic Affairs	Registrar	
Commencement Programs	Permanent	Academic Affairs	Registrar	✓
Credit/No Credit Approvals (audit, pass/fail, etc.)	1 year after date submitted	Academic Affairs	Registrar	
Degree statistics, Enrollment statistics, Grade statistics, and Race/Ethnicity statistics	Permanent	Academic Affairs	Registrar	✓
Drop/Add Records	1 year after date submitted	Academic Affairs	Registrar	
FERPA Consent Forms	Permanent	Academic Affairs	Registrar	
Hold or Encumbrance Authorizations	Until released	Academic Affairs	Registrar	
Institute Publications (catalogs, handbooks, programs, etc.)	Permanent	Academic Affairs	Registrar	✓
Name Change	Permanent	Academic Affairs	Registrar	
Schedule of Courses	Permanent	Academic Affairs	Registrar	✓
Student Waivers for Right of Inspection	Until graduation/date of last attendance	Academic Affairs	Registrar	
Transcript Requests	10 years after admission	Academic Affairs	Registrar	
Transcripts - high school, other college or other academic institution (enrolled students)	10 years after admission	Academic Affairs	Registrar	



## C22.0 Records Management Policy Document Retention Schedule

Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Transcripts - RIT	Permanent	Academic Affairs	Registrar	
Transfer credit requests/reports	10 years after graduation/date of last attendance	Academic Affairs	Registrar	
Withdrawal authorization/leave of absence authorization	2 years after graduation/date of last attendance	Academic Affairs	Registrar	
Resident Advisor Applications/Essays (not selected)	1 year from date of application	Student Affairs	Residence Life Office	
Resident Advisor files including Applications/Essays and Performance Evaluations	2 years from date of last service as RA	Student Affairs	Residence Life Office	
Deed of Gift	6 years after RIT no longer has possession of the gift	Office of the Provost	RIT Libraries	
Annual Conflict of Interest Disclosure Forms (Trustees)	6 years	Office of the President	Secretary of the University	
Board of Trustees Resolutions, meeting materials, minutes, and agendas (including Committees)	Permanent	Office of the President	Secretary of the University	✓
RIT Corporate Documents (including By-Laws, Charter, and Licenses)	Permanent	Office of the President	Secretary of the University	✓
Trustee files (information on individual trustees including correspondence)	Permanent	Office of the President	Secretary of the University	✓
Mortgages, Security Agreements	6 years after all obligations end	Finance and Administration	Senior VP for Finance and Administration	
Pre-Award Grant and Contract Applications, Proposals and Supporting Documents and corresponding Executed Sponsored Research Grants and Contracts, and Post-Award contract modifications, data files, reports, and project notebooks (federal awards, including federal dollars paid RIT on subcontracts)	3 years after close of grant and contracts	Office of the Vice President for Research	Sponsored Research Services	
Pre-Award Grant and Contract Applications, Proposals and Supporting Documents and corresponding Executed Sponsored Research Grants and Contracts, and Post-Award contract modifications, data files, reports, and project notebooks (New York State, corporate, and private awards)	6 years after close of grant and contract	Office of the Vice President for Research	Sponsored Research Services	
Pre-Award Grant and Contract Applications, Proposals, and Supporting Documents that are not funded or awarded	6 years from submission	Office of the Vice President for Research	Sponsored Research Services	
CIAR Incident Reports	6 years	Student Affairs	Student Affairs	
Mediation or Restorative Conferencing Agreements	5 years	Student Affairs	Student Affairs	
Student Behavior Consultation Team Files	6 years from case creation	Student Affairs	Student Affairs	
Student requests for nondisclosure of directory information	Permanent	Student Affairs	Student Affairs	
Student Conduct and University Appeal Records, including Audio/Visual Recordings for any matter that includes an outcome of suspension or expulsion	Permanent	Student Affairs	Student Conduct Office	
Student Conduct and University Appeal Records, including Audio/Visual Recordings unless the outcome includes suspension or expulsion. Includes all records related to policies D.18, D.19, or C.27(students).	7 years from incident reporting date	Student Affairs	Student Conduct Office	
Student Organization Conduct Records (not responsible)	7 years from incident reporting date	Student Affairs	Student Conduct Office	
Student Organization Conduct Records (responsible findings of Suspension, Loss of Recognition/Disaffiliation, or Expulsion)	Permanent	Student Affairs	Student Conduct Office	
Student Organization Conduct Records (responsible findings of Warnings, Probations, and Good Samaritan status)	Permanent	Student Affairs	Student Conduct Office	
Student Employee orientation and training materials	Permanent	Office of Career Services and Cooperative Education	Student Employment Office	
Student Employee records	6 years after employment ends	Office of Career Services and Cooperative Education	Student Employment Office	
Student Employment Applications and Resumes (employed)	6 years after employment ends	Office of Career Services and Cooperative Education	Student Employment Office	

## C22.0 Records Management Policy Document Retention Schedule

Document Name	Retention Period	Applicable Division/Department	Official Repository	RIT Archives
Direct Loan Counseling Records (Exit)	3 years after loan is repaid, canceled, or assigned to the Department of Education	Finance and Administration	Student Financial Services	
Perkins Loan Counseling Records (Entrance and Exit)	6 years	Finance and Administration	Student Financial Services	
Perkins Loan Promissory Notes	3 years after loan repaid, canceled, or assigned to Department of Education	Finance and Administration	Student Financial Services	
Record of Student Accounts (including charges, cash payments, Federal Student Aid payments, cash disbursements, refunds, returns, and overpayments)	6 years	Finance and Administration	Student Financial Services	
Tuition Fees and Schedules	Permanent	Finance and Administration	Student Financial Services	
CPD Semtek Database (training records prior to mid-2006)	Permanent	Finance and Administration	Talent Roadmap	
CPD Session Folders containing all information regarding events sponsored by CPD - saved by session, by month, and by year	Permanent	Finance and Administration	Talent Roadmap	
Alumni Association Board of Directors meeting agendas, minutes, and materials	Permanent	University Advancement	University Advancement	✓
Alumni Materials (including contact information, event invitations, calendars, and publications)	Permanent	University Advancement	University Advancement	✓
Annual Reports	Permanent	University Advancement	University Advancement	✓
Donor Acknowledgments and Correspondence	Permanent	University Advancement	University Advancement	
Gift Records (including original gift agreements, planned gifts, and real estate gifts)	Permanent	University Advancement	University Advancement	✓
Non-Degree Alumni Contact Information	Permanent	University Advancement	University Advancement	✓
Pledge Reminders	Until Pledge Fulfilled	University Advancement	University Advancement	
Yearbooks	Permanent	University Advancement	University Advancement	✓
News Content (internal and external)	Permanent	All	University Communications	✓
Press Releases	6 years	Office of the President	University Communications	✓
Wellness Client/Patient Health Records	6 years from last encounter or date of attendance, whichever is greater	Student Affairs	Wellness	