

## Investigator Financial Disclosure Form

(Please refer to Section C4.0 CONFLICT OF INTEREST POLICY PERTAINING TO EXTERNALLY FUNDED PROJECTS in the RIT Institute Policies and Procedures Manual.)

Investigator Name: \_\_\_\_\_ Department: \_\_\_\_\_

College/Unit: \_\_\_\_\_ Proposal Submitted to: \_\_\_\_\_

Proposal Title: \_\_\_\_\_

I am disclosing the following significant financial interests (check all applicable) and attaching supporting documentation (in an envelope marked “Confidential”) that identifies the business enterprise or entity involved and the nature and amount of the interest.

- Salary or other payment for services (e.g., consulting fees or honoraria).
- Equity interests (e.g., stocks, stock options, or other ownership interests).
- Intellectual property rights (e.g., patents, copyrights, and royalties from such rights).
- Other significant financial interest of the Investigator that possibly could affect or be perceived to affect the results of the research, educational, or service activities funded or proposed for funding.

Further, I agree:

- To update this disclosure during the period of the award, either on an annual basis, or as new reportable significant financial interests are obtained;
- To cooperate in the development of a Memorandum of Understanding (MOU) that constitutes a conflict of interest “resolution plan”; and
- To comply with any conditions or restrictions imposed by the Institute to manage, reduce, or eliminate conflicts of interest or forfeit the award.

Signed \_\_\_\_\_ Date \_\_\_\_\_  
(original signature only – a “per” signature is not acceptable)

Endorsements:

I have reviewed the significant financial interest disclosure and believe that it will be possible to develop and execute, prior to award, an MOU to manage, reduce, or eliminate any actual or potential conflict of interest, and, therefore, I recommend that the proposal be submitted to the agency at this time.

Department: Signed \_\_\_\_\_ Date \_\_\_\_\_