# On bipartization of cubic graphs by removal of an independent set<sup>\*</sup>

Hanna Furmańczyk<sup>†</sup>, Marek Kubale<sup>‡</sup>, Stanisław Radziszowski<sup>§</sup>

#### Abstract

We study a new problem for cubic graphs: bipartization of a cubic graph Qby deleting sufficiently large independent set I. It can be expressed as follows: Given a connected n-vertex tripartite cubic graph Q = (V, E) with independence number  $\alpha(Q)$ , does Q contain an independent set I of size k such that Q - I is bipartite? We are interested for which value of k the answer to this question is affirmative. We prove constructively that if  $\alpha(Q) \ge 4n/10$ , then the answer is positive for each k fulfilling  $\lfloor (n - \alpha(Q))/2 \rfloor \le k \le \alpha(Q)$ . It remains an open question if a similar construction is possible for cubic graphs with  $\alpha(Q) < 4n/10$ .

Next, we show that this problem with  $\alpha(Q) \geq 4n/10$  and k fulfilling inequalities  $\lfloor n/3 \rfloor \leq k \leq \alpha(Q)$  can be related to semi-equitable graph 3-coloring, where one color class is of size k, and the subgraph induced by the remaining vertices is equitably 2-colored. This means that Q has a coloring of type  $(k, \lceil (n-k)/2 \rceil, \lfloor (n-k)/2 \rfloor).$ 

**Keywords:** cubic graphs, bipartization, independent set, decycling number, feedback-vertex set, equitable coloring

#### **1** Some preliminaries

There are many challenging and interesting problems involving independent sets and cubic graphs. One of the most known is the problem of independence, IS(Q, k):

Given a connected cubic graph Q = (V, E) and integer k, does Q contain an independent set of size at least k?

<sup>\*</sup>This project has been partially supported by Narodowe Centrum Nauki under contract DEC-2011/02/A/ST6/00201

<sup>&</sup>lt;sup>†</sup>Institute of Informatics, University of Gdańsk, Wita Stwosza 57, 80-952 Gdańsk, Poland. e-mail: hanna@inf.ug.edu.pl

<sup>&</sup>lt;sup>‡</sup>Department of Algorithms and System Modelling, Technical University of Gdańsk, Narutowicza 11/12, 80-233 Gdańsk, Poland. e-mail: kubale@eti.pg.gda.pl

<sup>&</sup>lt;sup>§</sup>Department of Computer Science, Rochester Institute of Technology, Rochester, NY 14623, US. e-mail: spr@cs.rit.edu

An *independent set* of a graph Q is a subset of the vertices of Q such that no two vertices in the subset are joined by an edge in Q. The size of the largest independent set is called the *independence number* of Q, and it is denoted by  $\alpha(Q)$ . The problem of finding the value of  $\alpha(Q)$  is also widely discussed in the literature. In general, the problem IS(Q, k) is NP-complete for cubic graphs, and even for planar cubic graphs [6]. A comprehensive survey of results on the IS problem, including cubic graphs, was presented in [2, 8].

The second type of problems is connected with decycling sets of cubic graphs (also known as feedback-vertex sets). For a graph Q, a subset  $S \subseteq V(Q)$  is a *decycling* set of Q if and only if Q - S is acyclic, where by Q - S we mean the subgraph of Q induced by the vertices in  $\overline{S} = V(Q) \setminus S$ . The cardinality of a smallest decycling set of Q is called the *decycling number*, and it is denoted by  $\Phi(Q)$ . Speckenmeyer [13] showed that cubic graph Q has  $\Phi(Q) = n/2 - z(Q) + 1$ , where z(Q) is the size of maximum nonseparating independent set J (Q - J must be connected). Recently, Nedela and Kotrbcik [11] expressed the value of  $\Phi(Q)$  in terms of odd components in Q - E(T):

$$\Phi(Q) = \frac{n}{4} + \frac{\xi(Q) + 1}{2},\tag{1}$$

where Q is a cubic graph, and  $\xi(Q)$  denotes the minimum number of odd components in Q - E(T) over all spanning trees T. Speckenmeyer [13] obtained an upper bound on  $\Phi(Q)$  in terms of the girth g of a cubic graph Q:

$$\Phi(Q) \le \frac{g+1}{4g-2}n + \frac{g-1}{2g-1}.$$
(2)

For g = 3, the bound (2) gives  $\Phi(Q) \leq 4n/10 + 4/10$ . The bound (2) was improved by Liu and Zhao [10] for most cubic graphs, except  $K_4$ , two other cubic graphs and one subclass defined by the authors, to:

$$\Phi(Q) \le \frac{g}{4(g-1)}n + \frac{g-3}{2g-2}.$$
(3)

For g = 3, the bound (3) gives  $\Phi(Q) \leq 3n/8$ .

The third group contains problems connected with *bipartization* of cubic graphs. Given a graph, the task is to find a smallest set of vertices whose deletion makes the remaining graph bipartite. Choi et al. [5] showed that the bipartization decision problem is NP-complete for cubic graphs. Some approximation algorithms were given in [9].

We combine the above approaches and define the Bipartization IS, BIS(Q, k) problem, as follows:

Given a connected cubic graph Q = (V, E) and integer k, does Q contain an independent set I of size at least k such that Q - I is bipartite?

This problem can be seen as a task of finding independent odd decycling sets.

In the sequel we consider connected cubic graphs Q with chromatic number  $\chi(Q) = 3$ . This means that the set of vertices of graph Q can be partitioned into

three independent sets and Q is not bipartite. The class of such cubic graphs will be denoted by  $Q_3$ . Its subclass of graphs on n vertices will be denoted by  $Q_3(n)$ . Let us recall the Brooks theorem:

**Theorem 1** ([3]). For any connected undirected graph G with maximum degree  $\Delta$ , the chromatic number  $\chi(G)$  of G is at most  $\Delta$ , unless G is a clique or an odd cycle.

This implies that

 $2 \le \chi(Q) \le 3$ 

for all cubic graphs except  $K_4$ .

A graph is equitably t-colorable if and only if its vertex set can be partitioned into independent sets  $V_1, V_2, \ldots, V_t$  such that  $||V_i| - |V_j|| \le 1$  for all  $i, j = 1, 2, \ldots, t$ . Chen et al. [4] proved constructively that every cubic graph can be equitably colored without adding new colors. Hence, in particular for  $Q \in Q_3$  we have

$$\chi_{=}(Q) = \chi(Q), \tag{4}$$

where  $\chi_{=}(G)$  is the equitable chromatic number. Their algorithm relies on descreasing the width of coloring (the difference between the cardinality of the largest and smallest color class) by one until the difference is not greater than one.

In this paper we are also interested in equitable coloring of Q - I. We will give an algorithm which, given an independent set of size  $k \ge 4n/10$ , constructs an appropriate independent set I of size k for the BIS(Q, k) problem with  $Q \in Q_3(n)$ . We will also prove that such cubic graphs have colorings of type  $(k, \lceil (n-k)/2 \rceil, \lfloor (n-k)/2 \rfloor)$ , which means that Q - I has an equitable 2-coloring. Such type of coloring is called *semi-equitable*, i.e. the coloring in which exactly one color class is of any size while the cardinalities of the remaining color classes differ by at most 1. Colorings of this kind may be used in a problem of mutual exclusion scheduling of jobs on three uniform parallel processors [1]. In such a model of scheduling one of processors is faster than the remaining two, while the two slower processors are of the same speed and the conflict graph is cubic.

#### 2 Main results

Our main result is as follows.

**Theorem 2.** If  $Q \in \mathcal{Q}_3(n)$  and  $\alpha(Q) \ge 4n/10$ , then there exists an independent set I of size k in Q such that Q - I is bipartite for  $\lfloor n/3 \rfloor \le k \le \alpha(Q)$ .

Before we prove Theorem 2, we need some auxiliary concepts.

We consider connected cubic graphs  $Q \in \mathcal{Q}_3(n)$  with independence number  $\alpha(Q) \geq 4n/10$ , and let I be an independent set of size at least 4n/10. If Q-I is not bipartite then the subgraph Q-I consists of two parts: a 2-chromatic part of all bipartite components and a 3-chromatic part containing odd cycles (possibly with chords, bridges, pendant edges, etc.).

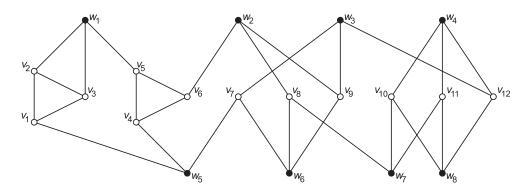


Figure 1: Example of a cubic graph in  $Q_3(20)$  with independent set I of size 8. The vertices of I are marked in black.  $R(I) = \{v_1v_2v_3, v_4v_5v_6\}.$ 

**Definition 1.** For  $Q \in \mathcal{Q}_3$ , the residuum R(I) of Q with respect to an independent set I is the set of all odd cycles in the graph Q - I.

For example, for the graph in Fig. 1 and given I,  $R(I) = \{v_1v_2v_3, v_4v_5v_6\}$ .

**Definition 2.** Vertex  $w \in I$  is a *free vertex* in  $Q \in \mathcal{Q}_3$  with respect to independent set I if and only if its removal from I (but not from V(Q)) results in the same residuum, i.e.  $R(I) = R(I \setminus \{w\})$ . The set of all free vertices in I will be denoted by  $F_0$ .

For the graph in Fig. 1 and given independent set I,  $F_0 = \{w_2, w_3, \ldots, w_8\}$ . Vertex  $w_1$  is not free because moving it from I to Q - I creates a new odd cycle in Q - I, namely  $w_1v_2v_3$ . Clearly,  $F_0 \subset I$ .

**Definition 3.** A diamond in Q with respect to independent set I is a subgraph D on vertices  $\{u, w, a, b\} \subseteq V(Q)$  isomorphic to  $K_4 - e$ , where  $u, w \in I$ .

**Definition 4.** Vertices  $u, w \in I$  are *pseudo-free vertices of type* 1 in Q with respect to independent set I if and only if there is a diamond D in Q on vertices  $\{u, w, a, b\}$ , and there is no odd cycle C of length at least 5 with vertices in  $\overline{I} \cup \{u, w\}$  such that  $|V(C) \cap V(D)| = 3$ , (cf. Fig. 2a). The set of all pseudo-free vertices of type 1 will be denoted by  $F_1$ .

Note that  $F_1 \subset I$  and  $F_1$  is a disjoint union of pairs of vertices  $\{u, w\}$  satisfying Definition 4.

**Definition 5.** Vertices  $u, w \in I$  are *pseudo-free vertices of type* 2 in Q with respect to independent set I if and only if there is a diamond D in Q on vertices  $\{u, w, a, b\}$ , and there is a cycle  $C_5$  with vertices in  $\overline{I} \cup \{u, w\}$  such that  $|V(C_5) \cap V(D)| = 3$ , and the two vertices  $\{c, d\} = V(C_5) \setminus V(D)$  have a common neighbor x in I (cf. Fig. 2b). The set of all pseudo-free vertices of type 2 will be denoted by  $F_2$ .

Note that vertices c and d are consecutive on  $C_5$ , and  $F_2 \subset I$  is a disjoint union of pairs of vertices  $\{u, w\}$  satisfying Definition 5.

Let  $F(I) = F_0 \cup F_1 \cup F_2$ . Clearly,  $F_i \cap F_j = \emptyset$  for i, j = 0, 1, 2 and  $i \neq j$ .

The following main auxiliary lemma implies, that under the assumptions of Theorem 2, if R(I) is nonempty then so is F(I).

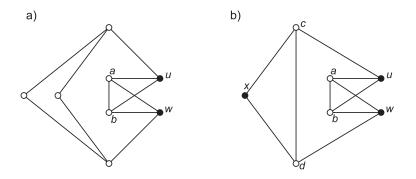


Figure 2: Subgraph of Q containing: a) diamond  $K_4 - e$  with pseudo-free vertices u and w of type 1;  $u, w \in I$ ; b) diamond  $K_4 - e$  with pseudo-free vertices u and w of type 2;  $u, w, x \in I$ .

**Lemma 1.** If  $Q \in Q_3(n)$  has an independent set I of size at least 4n/10 and  $R(I) \neq \emptyset$ , then there exists a free or pseudo-free (of type 1 or 2) vertex in I.

We will prove Lemma 1 in Section 3.

**Proof of Theorem 2.** First, we will prove that our theorem holds for k fulfilling  $4n/10 \le k \le \alpha(Q)$ .

Let I be any independent set of size at least 4n/10. Assume that  $R(I) \neq \emptyset$ . We will show that there exists another independent set, say J, such that  $|J| \geq |I|$  and  $R(J) \subsetneq R(I)$ .

Let C be an odd cycle belonging to R(I). Any vertex  $v \in V(C)$  must be of degree 2 or 3 in Q-I. If there exists  $v \in V(C)$  of degree 3 in Q-I, then we set  $J = I \cup \{v\}$ . The new residuum R(J) is a subset of  $R(I) \setminus \{C\}$ . Otherwise, if each  $v \in V(C)$  is of degree 2 in Q-I, then let  $v_1 \in V(C)$  and  $P = v_1v_2 \dots v_k$  be the shortest path from  $v_1$  to  $v_k \in F(I)$  in Q such that none of vertices  $v_1, v_2, \dots, v_{k-1}$  is free or pseudo-free. We know from Lemma 1 that F(I) is nonempty. We consider two cases.

Case 1: P is a path alternating between I and  $\overline{I}$ .

This means that  $v_1, v_3, \ldots, v_{k-1} \in \overline{I}$  and  $v_2, v_4, \ldots, v_k \in I$ ,  $v_k = w$ .

Subcase 1.1: Each vertex of  $v_3, v_5, \ldots, v_{k-1}$  has exactly two neighbors in I.

Then we interchange even and odd vertices between I and I along the path P so that a new independent set

$$J = \begin{cases} I \cup \{v_1, v_3, \dots, v_{k-1}\} \setminus \{v_2, v_4, \dots, v_k\} & \text{if } w \in F_0, \\ I \cup \{v_1, v_3, \dots, v_{k-1}, a\} \setminus \{v_2, v_4, \dots, v_k, u\} & \text{if } w \in F_1 \cup F_2, \end{cases}$$

of the same size is obtained, and  $R(J) \subset R(I) \setminus \{C\}$ .

Subcase 1.2: There is a vertex in  $\overline{I}$  on path P such that all (three) of its neighbors belong to I.

In this case we choose among such vertices one with the smallest index, say  $v_i$ ,  $3 \le i \le k - 1$  (see vertex  $v_5$  in Fig. 3). Let us observe that vertex  $v_{i-1} \in I$  can belong to odd cycles in  $Q - (I \setminus \{v_{i-1}\})$ , including the edge  $\{v_{i-2}, v_{i-1}\}$ , but there is no odd cycle in  $Q - (I \setminus \{v_{i-1}\})$  passing through  $\{v_{i-1}, v_i\}$ . We interchange even and odd vertices along the prefix subpath  $v_1v_2 \ldots v_{i-1}$  of P, so that  $J = I \cup \{v_1, v_3, \ldots, v_{i-2}\} \setminus \{v_2, v_4, \ldots, v_{i-1}\}$ , and  $R(J) \subset R(I) \setminus \{C\}$ .

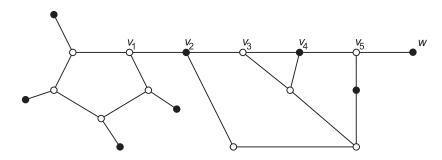


Figure 3: Example of a subgraph of Q with alternating path  $P = v_1 v_2 v_3 v_4 v_5 w$ , where vertex  $v_5$  is as  $v_i$  of Subcase 1.2.

Case 2: *P* is not an alternating path.

This means that there is a vertex in Q - I on P such that its successor on path P is also in  $\overline{I}$ . We choose among such vertices one with the smallest index, say  $v_i$  (see vertex  $v_5$  in Fig. 4).

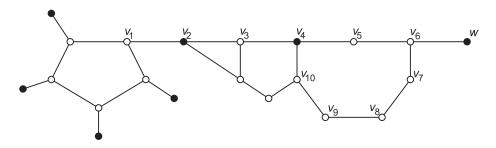


Figure 4: Example of a subgraph of Q and path  $P = v_1 v_2 v_3 v_4 v_5 v_6 w$ , which is not alternating. Vertices  $v_2, v_4, w \in I$ , vertex  $v_5$  is as  $v_j$  in Case 2. After applying the procedure described in Case 2:  $v_1, v_3 \in I'$  while  $v_2, v_4 \in \overline{I'}$  and there is a cycle  $C' = v_4 v_5 v_6 v_7 v_8 v_9 v_{10}$ with  $v_t = v_6$  (Subcase 2.2).

We consider the alternating part of the path P up to vertex  $v_{j-1}$  as in Case 1. Let I' be a new independent set obtained after applying the procedure from Case 1. Now, we have one of the following subcases:

Subcase 2.1: There is no odd cycle in Q - I' containing the edge  $\{v_{j-1}, v_j\}$ . Cycle C is broken and no new odd cycle is created. J = I'.

Subcase 2.2: There is an odd cycle C' in Q - I' containing the edge  $\{v_{i-1}, v_i\}$ .

If there exists  $v \in V(C')$  of degree 3 in Q-I', then we set  $J = I' \cup \{v\}$  (the new residuum R(J) is a subset of  $R(I') \setminus \{C'\}$ ; of course,  $R(J) \subsetneq R(I)$ ). Otherwise, let  $v_t$  be the vertex belonging to both C' and P whose index tis the highest (see vertex  $v_6$  in Fig. 4). Note, that  $v_{t+1} \in I'$ . In this case we consider the alternating part of the path P starting with vertex  $v_t$  as in Case 1, and finally obtain J, which clearly satisfies  $R(J) \subsetneq R(I)$ .

If the new independent set has still a nonempty residuum, we repeat our algorithm iteratively (with another cycle C and path P). There is at least one odd cycle broken in each iteration of the algorithm. Consequently, after s iterations of the algorithm, we obtain a sequence of independent sets  $J_1, J_2, \ldots, J_s$  of non-decreasing sizes,  $R(J_s) = \emptyset$ , and hence  $Q - J_s$  is bipartite.

Therefore, by Lemma 1, if a cubic graph  $Q \in Q_3$  has an independent set I of size  $k \ge 4n/10$ , then it also has an independent set  $J_s$  of size at least k such that  $Q - J_s$  is bipartite. Due to Chen's constructive proof thus obtained 3-coloring of Q can be equitalized to  $(\lfloor n/3 \rfloor, \lfloor (n+1)/3 \rfloor, \lfloor (n+2)/3 \rfloor)$  by decreasing the width of the coloring one by one, which completes the proof for all k,  $\lfloor n/3 \rfloor \le k \le \alpha(Q)$ .

Now, we summarize in pseudocode the procedures of our construction. For each iteration of the algorithm the path  $P = v_1 \dots v_k$  in given cubic graph Q is fixed, similarly as Q. Indices *in* and *out* refer to the segment of the path  $v_{in} \dots v_{out}$ .

Algorithm 1 Decycling Algorithm **Input:** Cubic graph Q with independent set I of size k,  $4n/10 \le k \le \alpha(Q)$ , and an odd cycle  $C \in R(I)$ . **Output:** Independent set J of size at least k such that  $R(J) \subsetneq R(I)$ . if there exists a vertex v on C of degree 3 in Q - I then return  $I \cup \{v\}$ end if  $P \leftarrow v_1 \dots v_k$  $\triangleright v_1$  is on cycle  $C, v_k \in F(I)$ , and  $v_i \notin F(I)$  for  $2 \le i \le k - 1$  $in \leftarrow 1$  $out \leftarrow \text{ALTERNATINGPATH}(P, in)$  $\triangleright$  segment of P from  $v_{in}$  to  $v_{out}$  is alternating as in Case 1  $J \leftarrow \text{NEWINDEPENDENTSET}(P, in, out, I)$ while |R(I)| = |R(J)| do  $I \leftarrow J$  $in \leftarrow out$  $(out, J) \leftarrow \text{NONALTERNATINGPATH}(P, in, I)$  $\triangleright$  segment of P from  $v_{in}$  to  $v_{out}$  is nonalternating as in Case 2 end while return J

7

Procedure 2 Alternating Path

```
Input: Path P = v_1 \dots v_k, integer in.
Output: Integer out such that v_{out} \in \overline{I} is a vertex with the smallest index on the
  alternating part of path P: v_{in} \dots v_{out}, such that all its neighbors are from I if such
  vertex exists or, otherwise, v_{out} \in \overline{I} is the last vertex on alternating part of the
  path P.
  procedure ALTERNATINGPATH(P, in)
      i \leftarrow in
                                                      \triangleright v_i is starting point of the alternating
                                                 part of the path P
      repeat
          if i < k then i \leftarrow i + 1
           end if
          if v_i \in Q - I then return i - 1
                                                      \triangleright two consecutive vertices on path P are
           end if
                                                    from \overline{I}, vertex v_{i-1} \in \overline{I} is the last vertex
                                                     on alternating part of P started in v_{in}
          if i = k then return i
           end if
                                                     \triangleright an alternating part of P, started in v_{in},
                                                    ends in v_k
          i \leftarrow i + 1
      until v_i \in \overline{I} is a vertex whose all neighbors belong to I
      return i
  end procedure
```

Procedure 3 N	ew Inde	ependent	$\operatorname{Set}$
---------------	---------	----------	----------------------

**Input:** Path  $P = v_1 \dots v_k$ , integers *in* and *out* delimiting alternating part of P, independent set I. **Output:** Independent set I'. **procedure** NEWINDEPENDENTSET(P, in, out, I)  $\triangleright$  Case 1 **if** out < k + 1 **or** (out = k + 1 **and**  $v_k \in F_0$ ) **then**   $I' \leftarrow I \cup \{v_{in}, v_{in+2}, \dots, v_{out-2}\} \setminus \{v_{in+1}, v_{in+3}, \dots, v_{out-1}\}$  **else**   $I' \leftarrow I \cup \{v_{in}, v_{in+2}, \dots, v_{out-2}, a\} \setminus \{v_{in+1}, v_{in+3}, \dots, v_{out-1}, u\}$  **end if return** I'**end procedure**  Procedure 4 Non-alternating Path

**Input:** Path  $P = v_1 \dots v_k$ , integer *in* such that  $v_{in}$  is a starting point of nonalternating part of the path P, independent set I.

**Output:** Integer out, independent set J.

```
procedure NONALTERNATINGPATH(P, in, I)

C' \leftarrow \text{odd cycle in } R(I) \text{ with edge } \{v_{in-1}, v_{in}\}

if C' has a vertex v of degree 3 in Q - I then return (k, I \cup \{v\})

end if

i \leftarrow \min_{j > in} \{j | v_j \notin V(C')\}

if v_i \in I then

out \leftarrow i - 1

J \leftarrow I

else

Set J as in Subcase 2.2 with t = i - 1

out \leftarrow i

end if

return (out, J)

end procedure
```

## 3 Proof of Lemma 1

**Lemma 1.** If  $Q \in Q_3(n)$  has an independent set I of size at least 4n/10 and  $R(I) \neq \emptyset$ , then there exists at least one free or pseudo-free (of type 1 or 2) vertex in I.

**Proof.** We need to prove that  $F(I) = F_0 \cup F_1 \cup F_2 \neq \emptyset$ . First, we assume that 10|n and let I be an independent set of size 4n/10. Let L denote the set of isolated vertices in Q - I, |L| = l. We are interested in the structure of Q - I, including the value of l. This is a graph with 6n/10 vertices and 3n/10 edges. Let us notice that if Q - I has no isolated vertices, Q - I must define a perfect matching, in which case we have  $3n/10 K_2$ 's. If Q - I contains components with more than one edge, then we have some number of isolated vertices. For example, a cycle  $C_k$  in Q - I "implies" k isolated vertices, and a path  $P_k$  "implies" k - 2 vertices. In general, a component of Q - I with m' edges and n' vertices "implies" 2m' - n' isolated vertices. In most cases, Q - I contains some components  $K_1, K_2, P_k, C_{k'}$  ( $k, k' \geq 3$ ), and a subgraph whose components have at least one vertex of degree 3. Let  $Q_l$  denote the part of Q - I excluding  $K_1$ 's and  $K_2$ 's, i.e. the part which "implies" the isolated vertices. For given Q and I, the subgraph Q - I consists of  $Q_l, lK_1$  and  $k_2K_2$ .

We fix number l. In the following we consider two cases depending on its value.

Case 1: l > 4n/30.

Since 3l > |I|, there must exist at least one vertex in I, say u, which is adjacent to at least two vertices in L. Note that u cannot be on any cycle together with vertices from Q - I. This means that  $u \in F_0$  is a free vertex and  $F_0 \neq \emptyset$ .

Case 2:  $l \le 4n/30$ .

In this case  $F_0$  may be empty. We will show that if  $F_0 = F_1 = \emptyset$ , then  $F_2 \neq \emptyset$ .

First, we will prove that there exists  $K_2$  among  $k_2K_2$ 's such that it is a subgraph of a diamond  $(K_4 - e)$ . We introduce some additional notation. Let  $\mathcal{K}^i$  denote the set of all such  $K_2$ 's in Q - I, whose endvertices have exactly *i* common neighbors in *I*, and let  $|\mathcal{K}^i| = k_2^i$ , i = 0, 1, 2. Of course,  $k_2 = k_2^0 + k_2^1 + k_2^2$ . Moreover, let us notice that  $k_2^2K_2$ 's result in  $k_2^2$  diamonds.

Claim There is a diamond in Q, i.e.  $k_2^2 > 0$ .

Proof of Claim.

For a contradiction, let us assume that the endvertices of each  $K_2$  have at most one common neighbor in I.

Now, we ask how many non-free vertices in I might be implied by vertices from Q - I assuming also  $F_0 = \emptyset$ . Let  $\gamma$  denote the number of non-free vertices in I that are adjacent to vertices from  $Q_l$  and are on some odd cycle (resulting from the fact that they are non-free vertices) on vertices from  $Q_l \cup I$ . We say that  $Q_l$  "generates"  $\gamma$  non-free vertices,  $\gamma \leq \gamma_{\max}$ , where  $\gamma_{\max}$  is upper bound on  $\gamma$  for fixed subgraph  $Q_l$ , excluding the structure of  $Q \setminus Q_l$ .

The number we asked for is equal to  $\gamma_{max} + k_2$ .

We show that

$$3n/10 - 2l + 3 \le k_2 \le 3n/10 - 3l/4.$$
(5)

Indeed, let us consider the stucture of  $Q_l$  implying the minimal number of  $K_2$ 's. It is easy to see that such  $Q_l$  must contain  $C_3$  and  $(l-3)P_3$ , with 3(l-2) vertices and 2(l-2)+1 edges. This implies that  $k_2 \ge (6n/10 - l - 3(l-2))/2 = 3n/10 - 2l + 3$ . On the other hand, the structure of  $Q_l$  maximizing  $k_2$  must contain the minimal number of vertices equal to  $6n/10 - 2k_2 - l$  with  $3n/10 - k_2$  edges. Hence  $k_2$  satisfies  $3(6n/10 - 2k_2 - l) \ge 6n/10 - 2k_2$ , which implies the upper bound in (5).

The maximal value of  $\gamma_{\text{max}}$  is equal to 3l/2 - 7/2 and it is achieved by  $Q_l = C_3 \cup (l-3)/p P_{p+2}$  for even p. Let us notice that the number of vertices in such  $Q_l$  is maximal for p = 2. In this case  $k_2 = 3n/10 - 3l/2 + 3/2$ . We have

$$\gamma_{\max} + k_2 \le (3l/2 - 7/2) + (3n/10 - 3l/2 + 3/2) < 4n/10.$$
(6)

On the other hand, for Q-I with  $R(I) \neq \emptyset$  the maximal number of  $K_2$ 's, due to inequalities (5) does not exceed 3n/10-3l/4. The value of  $\gamma_{max}$  depends also on the structure of  $Q_l$  with this number of  $K_2$ 's, but in any case  $\gamma_{max} + k_2 < 4n/10$ . This means that  $F_0 \neq \emptyset$ , which is a contradiction.

#### End of proof of Claim.

Now, let us assume that  $F_1 = F_0 = \emptyset$ . This means that each diamond D from  $\mathcal{K}^2$  is on an odd cycle of length at least 5. We note that such odd cycles can

be caused by joining vertices from  $I \cap V(D)$  to endvertices of  $K_2$  from  $\mathcal{K}^1 \cup \mathcal{K}^0$ . Observe that diamonds connected in this way to  $K_2 \in \mathcal{K}^1$  result in pseudofree vertices of type 2.

Finally, assume that  $F_2 = \emptyset$ . This implies that the endvertices of each  $K_2 \in \mathcal{K}^2$  are joined to vertices from  $Q_l$  or to endvertices of  $K_2 \in \mathcal{K}^0$ . Since Q is cubic and connected, there is at most one diamond joined to each of  $K_2 \in \mathcal{K}^0$ .

Since  $|V(Q_l)| + l + 2k_2 = 6n/10$ , and  $k_2 = k_2^0 + k_2^1 + k_2^2$ , and by (5), we have

 $|V(Q_l)| + l + k_2^0 + k_2^1 + k_2^2 = 6n/10 - k_2 \le 3n/10 + 2l - 3.$ 

Due to:  $\gamma \leq |V(Q_l)|/2$ , we get

$$2\gamma + l + k_2^0 + k_2^1 + k_2^2 \le 3n/10 + 2l - 3.$$

Because clearly

$$\gamma + 2k_2^2 + k_2^1 = 4n/10 = |I|,$$

and hence  $k_2^1 = 4n/10 - \gamma - 2k_2^2 - k_2^1$ , we have:

$$k_2^2 \ge \gamma + n/10 + k_2^0 + 3 > \gamma + k_2^0.$$
<sup>(7)</sup>

Since  $F_1 = \emptyset$ , inequality (7) means that at least one diamond is joined to  $K_2 \in \mathcal{K}^1$ . This implies  $F_2 \neq \emptyset$ , a contradiction.

## 4 Bipartization and equitable colorings

In the paper we have posed a new problem for cubic graphs  $Q \in \mathcal{Q}_3(n)$  with an independent set I of size k. We answered the question about existence of appropriate bipartizing set for  $\lfloor n/3 \rfloor \leq k \leq \alpha(Q)$ , if only  $\alpha(Q) \geq 4n/10$ .

On the other hand, Frieze and Suen [7] showed that the independence number of almost all cubic graphs on n vertices satisfies  $\alpha(Q) \geq 4.32n/10 - \epsilon n$ , for any constant  $\epsilon > 0$ . Moreover, they gave a simple greedy algorithm which find an independent set of that size in almost all cubic graphs. In practice this means that a graph from  $Q_3(n)$  is very likely to have an independent set of size  $k \geq 4n/10$ .

Taking into consideration the structure of the bipartized subgraph Q-I, it turns out that such a subgraph can be colored in equitable way with two colors. Let us assume that |I| = 4n/10. Notice that 6n/10 vertices of Q-I induce binary trees (some of them may be trivial) and/or graphs whose 2-core is equibipartite (an even cycle possibly with chords). Note that deleting an independent set I of cardinality 4n/10 from a cubic graph Q means also that we remove 12n/10 edges from the set of all 15n/10 edges of Q. The resulting graph Q-I has 6n/10 vertices and 3n/10 edges. Let  $s_i$ ,  $0 \le i \le 3$ , be the number of vertices in Q-I of degree i,  $\sum_{i=0}^3 s_i = 6n/10$ . Since the number of edges is half the number of vertices, the number of isolated vertices,  $s_0$ , is equal to  $s_2 + 2s_3$ . If  $s_0 = 0$ , then Q - I is a perfect matching and its equitable coloring is obvious.

Suppose that  $s_0 > 0$ . Consider the part of Q - I without isolated vertices and its 2-coloring. Each vertex of degree 3 causes the difference between cardinalities of color classes equal to at most 2  $(K_{1,3})$ , similarly each vertex of degree 2 causes the difference at most 1  $(K_{1,2})$ . The difference between the cardinalities of color classes in any coloring fulfilling these conditions is at most  $s_2 + 2s_3$  in Q - I - L, and an appropriate assignment of colors to isolated vertices L makes the graph Q - Iequitably 2-colored. Hence, we have:

**Proposition 3.** If  $Q \in Q_3(n)$  has an independent set I of size |I| = 4n/10 then it has a semi-equitable coloring of type  $(4n/10, \lceil 3n/10 \rceil, \lfloor 3n/10 \rfloor)$ .

Note, that if an *n*-vertex cubic graph Q has an independent set I of cardinality |I| > 4n/10 and consequently there exists independent set J of the same cardinality such that Q - J is bipartite, then we have more isolated vertices in Q - J and a partition of Q - J into  $V_1$  and  $V_2$  such that  $||V_1| - |V_2|| \le 1$  is possible. Hence

**Corollary 4.** If  $Q \in Q_3(n)$  has an independent set I of size  $|I| \ge 4n/10$  then it has a semi-equitable coloring of type  $(|I|, \lceil (n - |I|)/2 \rceil, \lfloor (n - |I|)/2 \rfloor)$ .

Taking into account above considerations Theorem 2 can be extended as follows.

**Theorem 5.** If  $Q \in \mathcal{Q}_3(n)$  and  $\alpha(Q) \ge 4n/10$ , then there exists an independent set I of size k in Q such that Q - I is bipartite for  $\lfloor (n - \alpha(Q))/2 \rfloor \le k \le \alpha(Q)$ .  $\Box$ 

The problem for  $k < \lfloor (n - \alpha(Q))/2 \rfloor$  in  $\mathcal{Q}_3(n)$  with  $\alpha(Q) \ge 4n/10$  stays open as well as the problem for cubic graphs with  $\alpha(Q) < 4n/10$ .

Finally, note that Theorem 5 cannot be generalized to all 3-colorable graphs, since the sun  $S_3$  graph<sup>1</sup> is a counterexample.

#### Acknowledgments

The authors thank Professor Darek Dereniowski for taking great care in reading our manuscript and making several useful suggestions improving the presentation.

## References

- Baker B.S., Coffman E.G., Jr., Mutual exclusion scheduling, Theor. Comp. Sci. 162 (1996), 225–243.
- [2] N. Bourgeois, B. Escoffier, V.T. Paschos, J.M.M. van Rooij, Fast algorithms for MAX INDEPENDENT SET, Algorithmica, 62 (2012), 382–415.

 $<sup>{}^{1}</sup>S_{k}$  consists of a central complete graph  $K_{k}$  with an outer ring of k vertices, each of which is joined to both endpoints of the closest outer edge of the central core.

- [3] R.L. Brooks, On colouring the nodes of a network, Proc. Cambridge Philosophical Society, Math. Phys. Sci., 37 (1941), 194–197.
- [4] B.-L. Chen, K.W. Lih, P.L. Wu, Equitable coloring and the maximum degree, Eur. J. Combinatorics, 15 (1994), 443–447.
- [5] H. Choi, K. Nakajima, C.S. Rim, Graph bipartization and via minimization, SIAM J. Discrete Math., 2 (1989), 38–47.
- [6] H. Fleischner, G. Sabidussi, V.I. Sarvanov, Maximum independent sets in 3- and 4-regular Hamiltonian graphs, *Discrete Math.*, 310 (2010), 2742–2749.
- [7] A. Frieze, S. Suen, On the independence number of random cubic graphs, *Random Graphs and Structures*, 5 (1994), 649–664.
- [8] Ch. Heckman, R. Tomas, Independent sets in triangle-free cubic planar graphs, J. Comb. Theory B, 96 (2006), 253–275.
- [9] F. Hüffner, Algorithm engineering for optimal graph bipartization, J. Graph Algorithms and Applications, 13 (2009), 77–98.
- [10] J. Liu, Ch. Zhao, A new bound on the feedback vertex sets in cubic graphs, Discrete Math., 148 (1996), 119–131.
- [11] R. Nedela, M. Kotrbcik, Hamiltonicity of truncated duals of maps, manuscript.
- [12] I. Razgon, Faster computation of maximum independent set and parameterized vertex cover for graphs with maximum degree 3, J. Discrete Algorithms, 7 (2009), 191–212.
- [13] E. Speckenmeyer, On feedback vertex sets and nonseparating independent sets in cubic graphs, J. Graph Theory, 12 (1988), 405–412.