RIT Rochester Institute of Technology

Biennial Review of Rochester Institute of Technology's Alcohol and Other Drug Programs and Policies

2019-2021

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I. Introduction

The Drug-Free Schools and Campuses Regulations (EDGAR, part 86) require that, as a condition for receiving funds or any other form of financial assistance under any federal program, an institution of higher education (IHE) must certify that it has adopted and implemented a program "to prevent the unlawful possession, use, or distribution of illicit drugs and alcohol by students and employees."

Developing a program that complies with the regulations requires an IHE to:

- 1. Annually notify each employee and student, in writing, of standards of conduct; a description of appropriate sanctions for violation of federal, state, and local law and campus policy; a description of health risks associated with (Alcohol and Other Drug) AOD use; and a description of available treatment programs.
- 2. Develop a sound method for distributing annual notification information to every student and employee each year.
- 3. Prepare a biennial report on the effectiveness of its AOD programs and the consistency of sanction enforcement.
- 4. Maintain its biennial review report on file that is made available by the U.S. Department of Education upon request.

RIT utilizes a comprehensive framework to address alcohol and other drug use by implementing the following strategies: policy, education, coalitions/collaboration, social life, academics, enforcement, intervention and data/assessment.

II. Alcohol and Other Substances University Wide Task Force

The Alcohol and Other Substances University Wide Task Force is comprised of individuals from Student Affairs, Academic Affairs, Human Resources, and Public Safety. Key informants serve on the task force to assist in the trend-tracking of current AOD concerns. The programming aspects of alcohol and other substances awareness, education, and prevention efforts are the responsibility of the Health Promotion office.

The mission and charge of the Alcohol and Other Substances University Wide Task Force are as follows:

Mission:

The AOD Task Force will comprehensively address harm associated with alcohol and substance use and actively monitor trends from a University-wide perspective. This group will ensure that RIT polices are up to date and relevant as new information and changes to NYS law come into effect.

Charge:

- · Review current trends on campus and in the surrounding area as they relate to alcohol and other substances
- · Bi-annual policy review for students, faculty, and staff

- Review of resources available for students, faculty and staff
- Review transports to hospital that are alcohol or other substance related
- · Identify high risk times of year for all community members
- Discuss a comprehensive, university wide approach to prevention, education, and enforcement

Key informants include, students, representatives from Student Government, Conduct, Public Safety, Clubs and Organizations (including Fraternity and Sorority Life), Athletics, and Residential Life.

University/Department Representation
Health Promotion
Public Safety
The Center for Residence Life
Center for Student Conduct and Conflict Resolution
Human Resources
Substance and Alcohol Intervention Services for the
Deaf (SAISD)
NTID Athlete Development
Intercollegiate Athletics
Student Government Representative
Center for Campus Life including Fraternity and
Sorority Affairs

III. Procedures for Distributing Alcohol and Other Drug Notification to Students and Employees

Each year RIT takes a multi-dimensional approach to disseminating information on policies and services related to alcohol and other drugs for employees and students. RIT's Better Me program strives to educate employees on health and wellness-related programs and services, and the Wellness area within Student Affairs provides resources, information, services, and courses for students. Better Me and Wellness regularly distribute materials via email to employees and students.

The Annual Security & Fire Safety Report is made available to the university community and visitors each fall and distributed to employees and students via RIT Message Center. (https://www.rit.edu/fa/publicsafety/sites/rit.edu.fa.publicsafety/files/docs/2019AnnualSecurityReport.pdf)

This annual publication includes:

- drug and alcohol laws and policies
- active bystander information
- crime statistics and other resources

Additionally, students are sent an electronic link to the student Alcohol and Other Drugs Policy (D18.1) each fall as well as an educational email providing them with resources on alcohol education, marijuana education, and opioid education. A copy of this e-mail can be found in Appendix V.

Human resources has online links to the Employee Assistance Program (EAP) resources that are updated annually (https://www.rit.edu/fa/humanresources/policies/procedures/eap.html) as well as links to the current Faculty/Staff Alcohol and Drug Policy (C 15.2). Employees are also sent an informational e-mail providing information about the drug free workplace statement. A copy of the e-mail can be found in Appendix V. Employees are directed to the Human Resources website for more information and/or to view the complete Biennial Alcohol and Other Drug Programs and Policies document.

Other resources include:

RIT's Biennial Review of Alcohol and Other Drug Policies and Programs

https://www.rit.edu/fa/humanresources/content/procedures

RIT Division of Student Affairs

https://www.rit.edu/studentaffairs/

RIT Human Resources

https://www.rit.edu/humanresources/

Programs and Services for Students and Employees (locations and contacts)

Served	Resource	Services:	Locations:	Phone:
Students	Student	Medical care,	August Center	585.475.2255
	Health Center	medication,	Building 23-A	
		information,		
		referral		
Employees	Employee	Support and		844.572.9730
	Assistance	referral		
	Program	Services for		TDD: 800.697.0353
	(EAP)	RIT		
		employees		Online:
		and members		guidanceresources.com
		of their		
		families		
Students &	RIT Public		Grace Watson	585.475.2853
Employees	Safety		Hall Building	585.475.6654 (TTY)
			25	
Students	RIT		Hale-Andrews	585.475.2261
	Counseling		Student Life	585.475.6897 (TTY)
	and		Center	Online:
			Building 23	

	Psychological			https://www.rit.edu/studentaffair
	Services			s/counseling/
Students	RIT Center		Student	585.475.5662 (V/TTY)
	for Student		Alumni Union	
	Conduct		Building 4	
Employees	Human		Eastman Hall	585.475.2424
	Resources		Building 1	
Students	Health	Educational	Hale-Andrews	585.475-6599
	Promotion	programming	Student Life	
		and early	Center	
		intervention	Building 23	

IV. RIT Policies (Policy Inventories)

RIT has a number of policies and programs in place to maintain and promote a healthy community. Each are described in this section, including a link to the policy. Full policies are also included in the Appendix.

A. Policies for Students

1. ALCOHOL AND OTHER DRUGS POLICY (RIT POLICY D18.1)

<u>Policy D18.1</u> provides students with an overview of responsibilities, legal terms, and potential responses for violations.

• Student Rights and Responsibilities: https://www.rit.edu/studentaffairs/studentconduct/rights-responsibilities/student-rights

2. C15.0 POLICY ON UNIVERSITY EVENTS WITH ALCOHOLIC BEVERAGES

RIT's shared governance process and adopted, effective July 1, 2017. See https://www.rit.edu/academicaffairs/policiesmanual/c150 and Appendix VI.

This policy includes an event registration form located online: https://www.rit.edu/fa/diningservices/rit-campus-club-inc

3. STUDENT-ATHLETE ALCOHOL AND OTHER DRUGS EDUCATION AND TESTING PROGRAM

While not a policy, RIT's Center for Intercollegiate Athletics has devised a program that aims to provide a drug and alcohol-free environment for intercollegiate athletic programs:

http://www.ritathletics.com/documents/2015/8/10/DrugAlcoholPolicy_July_30_2015FINAL.pdf?&tab=4

4. RIT HOUSING TERMS AND CONDITIONS

RIT Housing Guidelines highlight expectations regarding alcohol and other drugs

(p. 14 - 14.02):

https://www.rit.edu/housing/2021-2022-terms-conditions#14-compliance-with-laws

B. Policies for Employees

1. FACULTY/STAFF ALCOHOL AND DRUG POLICY (RIT POLICY C15.2)

<u>Policy C15.2</u> provides employees with definitions regarding alcohol and drug use in the workplace, procedures for alleged violations of the policy, and information on support services available.

C. Policies for Both Students and Employees

1. C16.0 TOBACCO-RESTRICTED UNIVERSITY POLICY

Policy <u>C16.0</u> applies to all students, faculty, staff, administrators, vendors, and visitors while on RIT-managed properties located in the United States.

2. POLICIES LIBRARY

Faculty, Staff, Students and visitors can find RIT policies on several websites:

Alcohol and Drug Policies

https://www.rit.edu/academicaffairs/policiesmanual/c152

https://www.rit.edu/academicaffairs/policiesmanual/c160

https://www.rit.edu/academicaffairs/policiesmanual/d181

RIT Governance Policy Library

https://www.rit.edu/academicaffairs/policiesmanual/policies/governance

Drug-Free Schools

https://www.rit.edu/fa/grms/compliance/statutes/drug free 1989.html

Consumer Information/Right to Know

https://www.rit.edu/fa/compliance/content/consumer-information#heoa2248

V. RIT Programs (Program Inventories)

A. Programs for Students

Each year, RIT offers a number of educational programs targeting a broad spectrum of wellness issues including alcohol and other drugs.

In the spring of 2021 Health Promotion worked to create a comprehensive alcohol and cannabis education university wide campaign, called Party PAWS.

Rooted in harm reduction philosophy, all alcohol and cannabis educational programs provide an opportunity to explore research about these substances and what use looks like. When educating, we explore ways to consume safely and responsibly. The consumption of alcohol is prohibited by RIT Code of Conduct and against NYS law if you are under the age of 21. Additionally, cannabis is prohibited on campus, illegal at the federal level, and is also against the RIT Code of Conduct.

Our comprehensive approach to alcohol and cannabis prevention and intervention focuses on early introduction of education and skill-building through Party PAWS, introducing you to key information to help you identify practical strategies to reduce harm and seek help or resources when needed. Additionally, we strive to correct misperceptions that many students hold about college alcohol, cannabis, tobacco, and other drug use to reduce harmful consequences. Our goal is to support you in achieving your personal and academic potential.

Party PAWS Overview

Party PAWS is a comprehensive education and awareness program created to help students of the RIT community better understand alcohol and cannabis use. We aim to share relatable and reliable education to help students make informed and responsible decisions if they choose to consume.

PAUSE to check in with yourself before consuming more.

ASSESS what's happening - take some time to take it all in.

WHY are you choosing to have another? Don't mindlessly consume.

SOLVE for the situation. Make an informed decision about what to do next.

Party PAWS content is implemented utilizing several communications and in-person strategies. Content is shared prior to and throughout high risk weekends and periodically through the semester. Our Wellness Peer Educators facilitate programs related to harm reduction strategies for alcohol and other drugs and our Health Promotion Coordinator facilitates programs around risk management, harm reduction, substance abuse, Good Samaritan Protocol, and resources available on campus.

Furthermore, RIT also offered support programs for students related to AOD. Smart Recovery, a 4-point program that helps people recover from all types of addictive behaviors, including: alcoholism, drug abuse, substance abuse, drug addiction, alcohol abuse, gambling addiction, cocaine addiction, and addiction to other substances and activities, is offered every semester, weekly, and a community Alcohol Anonymous Chapter holds a weekly meeting on campus each Sunday.

A review of 2019-2021 programming and additional training can be found in Appendix V.

B. Programs for Employees

All regular employees are eligible for the RIT's Employee Assistance Program (EAP). The employee's spouse/partner and eligible children are also covered under the EAP.

The EAP is confidential and provided at no charge to eligible employees. RIT offers this benefit, through Guidance Resources[®], as part of the employee benefits program. EAP offers:

Confidential Counseling. This no-cost counseling service helps employees address stress, relationships, and other personal issues. It is staffed by Guidance Consultants – highly trained masters and doctoral-level clinicians who will listen to concerns and refer to inperson counseling and other resources for issues such as stress, anxiety, depression, relationship/marital conflicts, problems with children, job pressures, grief and loss and substance abuse.

Counselors are available 24 hours a day, 7 days a week. Each eligible family member is eligible for up to six (6) counseling sessions (phone and in-person combined) per person, per calendar, year per issue (number of sessions approved is based on counseling need so it may be less than six). If further sessions are needed, employees pay the cost of the visits in full.

Managers, working with Human Resources, may recommend an employee's participation in the EAP to address unacceptable employee performance or behavior.

Additionally, RIT promotes the health and well-being of employees through the Better Me wellness initiative by offering fitness classes, preventative checks, nutrition counseling, one-on-one coaching and group programs: https://www.rit.edu/fa/betterme/

VI. Process/Structures for Maintaining Consistency in Enforcement

RIT is committed to the core value of "Integrity and Ethics," as written in policy <u>P04.0</u> Core Values. The spirit of this value is maintaining consistency in enforcement of university policies, including policies related to alcohol and other drugs.

Per D.18.1, RIT strives to diligently follow all local, state, and federal laws. Students and student organizations are subject to local, state, and federal laws regarding alcohol and drug use, and the university will not protect individuals or groups from law enforcement with respect to drugs and alcohol use or abuse.

There are a number of "enforcement" bodies regarding alcohol and other drugs, the primary being the Public Safety Department. Additionally, the Center for Residence Life assists Public Safety in enforcement of these policies as they relate to students living in University-managed residential units. The Center for Student Conduct and Conflict Resolution is the guiding department that adjudicates alleged violations of the alcohol and other drugs policy for students and is responsible for assigning disciplinary statuses consistently. Annually, training is conducted for staff (including student para-professional staff) to educate on current policies, enforcement, and consistency.

For the Public Safety Department, internal department policies and procedures provide supervisors and officers with guidelines when enforcement action is required. Descriptions of how alcohol and drug policies are enforced are as follows:

RIT community members can report drug and alcohol policy violations to Public Safety by calling 585-475-3333, texting 585-205-8333, using the <u>TigerSafe</u> app, or Public Safety <u>Confidential Tipline</u>. If a person is found to be in violation of the alcohol and other drug policy on campus, an investigation commences which is documented and forwarded to either Student Conduct (students), or Human Resources (faculty/staff). Non-campus members accused of drug and alcohol policy violations are banned from RIT if there is preponderance of evidence to do so.

- When alcohol is found in an underage student's residence hall room, the student is asked to
 pour the alcohol down the drain with a public safety officer as a witness. A statement of fact
 is written and attached to the incident report. Efforts are made to determine where the alcohol
 was obtained and appropriate action is taken, including notification to the Monroe County
 Sheriff's Office (MCSO) and/or the New York State Liquor Authority when a local retail
 establishment is identified.
- When illegal drugs, or the suspicion of illegal drug use is investigated, a thorough investigation commences and confiscated drugs are turned over to the MCSO. Efforts are made to determine where the drugs were obtained and this information is shared with MCSO. If it appears drug sales are occurring on campus, a thorough investigation ensues and local law enforcement is advised. All documentation is shared with law enforcement as well as RIT's Center for Student Conduct and Conflict Resolution (students), and Human Resources (faculty/staff) for appropriate disposition.
- Public Safety patrols campus grounds where community members reported obtaining illegal drugs. The same process is followed as above when community members are found to be in possession of illegal drugs and alcohol (when minors are involved).

The following number of students were referred to RIT's Conduct Office for liquor law and drug law violations (as defined by the U.S. Department of Education) in residential areas on campus, this information can also be found online at (https://www.rit.edu/fa/publicsafety/sites/rit.edu.fa.publicsafety/files/docs/2019AnnualSecurityReport.pdf):

Academic Year	Reported Offense	Statistics
2018	Liquor Law Violations Referred For Disciplinary Action	99
	Drug Law Violations Referred For Disciplinary Action	67

2019	Liquor Law Violations Referred For Disciplinary Action	82
	Drug Law Violations Referred For Disciplinary Action	46
2020	Liquor Law Violations Referred For Disciplinary Action	93
	Drug Law Violations Referred For Disciplinary Action	35

VII. Current Projects

A. Continued review and modifications to D.18.1 Alcohol and other Drug Policy

This work was put on hold for about a year with COVID occurring in the spring of 2020. The team is back to meeting bi-weekly and consists of representatives from the Center for Student Conduct and Conflict Resolution, Health Promotion Department, The Center for Residence Life, and Substance and Alcohol Intervention Services for the Deaf (SAISD). The team is currently reviewing the policy to ensure it is comprehensive of common violations, language, and potential future trends.

B. Review and modifications to RIT's Tobacco-Restricted University Policy (C16.0). The policy will be discuss at an upcoming University Council meeting to address gaps in the policy and a plan moving forward. The Health Promotion Coordinator has begun working with Student Government to address concerns related to smoking on campus and has begun to compile additional resources for students that can be broadly distributed.

VIII. Discussion

A. RIT's Alcohol and Other Drug Program: Strengths and Weaknesses

Strengths

RIT has many strengths regarding its alcohol and other drug policies and programs. The University's holistic approach to enhancing wellness for all students and employees sets a strong foundation for drug and alcohol policy and programming.

It should be noted that in the Spring of 2021 the former Governor of New York legalized the adult use of cannabis (21 years of age). At that time, the group that is now the Alcohol and Other Drug Task form met to discuss implications to campus, messaging to the campus community and policy development. The message to students can be found in appendix 7.

RIT is also committed to the ongoing creation of proactive educational programs for students. The hiring of the Health Promotion Coordinator with a specialty in alcohol and other drug education has played a key role in aligning prevention and education efforts to ensure students are receiving and engaging in prevention education related to AOD.

Additionally, the cross-divisional relationships that have been created and continue to be strengthened help ensure that programs and information are relevant to all students and inclusive of many topics.

Weaknesses

More work can be done to move policy change forward. We have continued the conversation related to RIT's Tobacco-Restricted University Policy (C16.0) and D.18.1 Alcohol and other Drug Policy. With the AOD Task Force in place we ideally will be able to review policies more frequently.

IX. Summary and Conclusion

RIT uses a comprehensive approach when addressing alcohol and other drug use on-campus, focusing on policy, education, coalitions/collaboration, social life, academics, enforcement, intervention and data/assessment. RIT follows evidence-informed recommendations to ensure that RIT students, faculty and staff are provided with information and resources that promote a safe and healthy environment; one that attempts to mitigate risk as it pertains to alcohol and other drug use.

Several future goals and initiatives regarding alcohol and other drug education are currently being developed. Because alcohol and other drug issues are always evolving and changing, new strategies are needed, and RIT's plan will be expanded as appropriate.

RIT will continue to develop, evaluate, assess, and pursue the best practices for the campus to create a safe and healthy environment for the entire RIT community.

Appendix 1

ALCOHOL AND OTHER DRUGS POLICY (RIT POLICY D18.1) D18.1 ALCOHOL AND OTHER DRUGS POLICY

RIT is a community that believes the best environment for learning occurs when the community promotes and supports healthy and responsible behavior among its members.

Students make their own choices and are ultimately responsible for their behavior. The purpose of the Alcohol and Other Drugs Policy is to promote individual responsibility and advance the goals and expectations central to the university mission. The Alcohol and Other Drugs Policy applies to all Student members of the university community and their guests. Faculty and staff, and their guests, are governed by a separate policy (C 15.2 -Faculty/Staff Alcohol and Drug Policy).

RIT follows all local, state, and federal laws. Students and Student organizations are subject to local, state and federal laws regarding alcohol and drug use, and the university will not protect individuals or groups from law enforcement with respect to drugs and alcohol use or abuse.

In order to avoid the dangerous and possibly fatal effects of alcohol poisoning or overdosing from other drugs, an individual who has passed out or shows other signs of serious effects from alcohol or other drug consumption should immediately be brought to the attention of Public Safety, RIT Ambulance, the Center for Residence Life staff, or some other person able to assist or to get assistance. Seeking such help is encouraged by the university and the Good Samaritan Protocol, listed below.

I. Responsible and Legal Use

- A. **Legal Use**. Alcohol and other drugs may not be illegally used, possessed, manufactured or distributed. Distribution includes serving, selling, or providing either alcohol to persons who are under 21 years of age or drugs to persons of any age. Examples of illegal use includes:
- 1. **Open Containers**. Open containers of alcohol are not permitted outdoors on the university campus without prior authorization.
- 2. **Fake IDs**. Use of false or altered identification or other misrepresentation of one's age in order to possess or consume alcohol is explicitly forbidden.
- 3. **Cover Charges**. No alcohol may be sold or exchanged for money on university property or at university-sponsored events without a New York State liquor license.
- 4. **Distribution of Legal Drugs**. Sharing or distributing prescription medicine or other legal drugs, with or without the exchange of money, is prohibited.
- B. **Excessive Drinking**. Behavior that is inappropriate or endangering which suggests excessive drinking regardless of age is prohibited.
 - C. **Driving Under the Influence**. Driving under the influence of alcohol or other drugs is forbidden.

University Housing

- D. **Residence Halls, Global Village, Greek Housing**. The consumption or possession of alcoholic beverages is prohibited, regardless of age or circumstances.
- E. University Apartments. The consumption or possession of alcoholic beverages is permitted in university operated apartments (Colony Manor, Perkins Green, RIT Inn, Racquet Club, Riverknoll, and University Commons) only by those residents of the

apartment who are at least 21 years of age. Alcohol possession and consumption is not permitted in common or public areas within apartment complexes.

- 1. **Occupancy**. Parties in apartments are to be limited to invited guests of a number that is defined by building occupancy codes and that can be accommodated without disturbing the community. These numbers may be found in the RIT Housing Terms and Conditions.
- 2. **Advertising**. The guest's at all privately hosted parties where alcohol is to be served must be invited by direct personal invitation only. General "come all" posters, flyers, or mass electronic invitations are only permitted for events that are approved through the Center for Campus Life Event Registration process.
- F. **Drug Accessories**. Drug accessories and other drug delivery systems used for illegal drugs, including, but not limited to, bongs, bowls, and pipes, are prohibited.
- G. **Bulk Containers**. Bulk containers of alcohol, such as kegs, are prohibited in all university apartments.
- H. **Drinking Games/Rapid Consumption**. Items/substances used to dispense alcohol in a rapid manner, (e.g., beer bongs, funnels, Jell-O shots, etc.) or drinking games (e.g., "Quarters," "Beer Pong," etc.) are prohibited.

Events

I. **Student Events**. All student events and parties where alcohol is served, possessed, or consumed must abide by all existing university policies and procedures regarding the use, possession, sale, and distribution of alcohol and may be further restricted by existing municipal and state ordinances. The authorization process for use of alcohol and securing authorization from the New York State Liquor Board in these situations is coordinated through the Center for Campus Life Event Registration process.

II. Good Samaritan Protocol

Students are expected to contact Public Safety when it is believed an individual needs medical attention due to the abuse of alcohol or other drugs including prescription, over the counter, or other. The Good Samaritan Protocol is designed to provide education rather than discipline when a student voluntarily contacts university personnel (e.g., Public Safety, Resident Advisor/Community Advocate) or outside emergency services for medical assistance related to alcohol or other drugs. Individuals covered by the Good Samaritan Protocol are the caller, the person in need of assistance, the host Student organization, and any witnesses named in the incident report.

Center for Student Conduct staff determine whether or not the Good Samaritan Protocol is applicable for each incident. Incidents involving sex discrimination, sexual harassment, sexual misconduct, sexual violence, dating violence, domestic violence, or stalking shall be covered by the Good Samaritan Protocol for those

students reporting or intervening to prevent harm to a particular student. Incidents involving other violence or serious code violations, such as hazing.

	Alcohol Violati	ons	
Behavior	First Violation	Second Violation	Third Violation
 Possession/consumption of alcohol by an underage student Possession/consumption of alcohol by any student in the residence halls, Global Village, and Greek Housing Open container Fake ID Possession of bulk containers 	 Warning or Probation Educational condition Possible parental/legal guardian notification* (as permitted by applicable law) 	 Probation Alcohol and Other Drug Assessment and/or educational condition University housing probation Parental/legal guardian notification 	 Removal from housing Possible suspension Mandatory counseling intake Parental/legal guardian notification
 Excessive drinking/rapid consumption Transport to the hospital due to alcohol Serving, purchasing, or sale of alcohol to minors Hosting an on campus party and serving to minors, charging cover fees, or exceeding occupancy 	 Probation Alcohol and Other Drug Assessment and/or educational condition Possible university housing probation Parental/legal guardian notification 	 Removal from housing Possible suspension Mandatory counseling intake Parental/legal guardian notification 	 Suspension or Expulsion Parental/legal guardian notification
 Driving while intoxicated/DWI on campus Hazing 	 Suspension or Expulsion Referral to local law 		

	enforcement agency • Parental/legal guardian notification		
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III. Will not apply. A conduct officer will contact the Student or the Student organization involved in the incident to arrange for a meeting. There will be no official charges or conduct status from the university through the Good Samaritan Protocol. The person who made the call to university personnel may be invited to the meeting. At the meeting, the incident will be reviewed with the Student or Student organization to determine an appropriate educational response, which could include participation in an educational group or class, program presentations, counseling intake session, or substance abuse education and/or evaluation. A response may also include parental/guardian notification of the incident.

If a Student or Student organization fails to attend the initial meeting with the conduct officer or complete the educational responses following the meeting, the incident will be referred to the Student Conduct Process.

IV. Common Responses for Violations of the Alcohol and Other Drug Policy

The responses listed are intended to guide the process of determining the most reasonable outcome to potential violations of the Alcohol and Other Drug (AOD) Policy. Each reported incident will be reviewed individually and an appropriate response will be assigned given the specific circumstances. In some cases, despite it being a first time violation, there may be a more serious response. Student organizations can also be assigned the same responses listed below.

Other Drug Violations					
Behavior	First Violation	Second Violation	Third Violation		
 Possession/use of marijuana or other illegal drugs Possession of drug accessories (e.g., bongs, bowls, scales, pipes) 	 Warning or Probation Educational condition Parental/legal guardian notification 	 Probation Alcohol and Other Drug Assessment and/or educational condition University housing probation Parental/legal guardian notification 	 Removal from housing Possible suspension Mandatory counseling intake Parental/legal guardian notification 		
Transport to the hospital due to drug overuse	 Probation Alcohol and Other Drug Assessment and/or educational condition Possible university housing probation Parental/legal guardian notification 	 Removal from housing Possible suspension Mandatory counseling intake Parental/legal guardian notification 	 Suspension Parental/legal guardian notification 		
 Driving while under the influence/DWAI on campus Other serious behaviors such as 	 Suspension or Expulsion Removal from housing 				

selling or distributing drugs including prescription, over the counter, or illegal drugs	 Referral to local law enforcement agency Parental/legal guardian notification 		
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Responsible Office:

Center for Student Conduct

Effective Date:

Approved December 1962

Policy History:

Revised 2006

Edited for department/title changes August 2008

Edited September 2010

Revised March 2012

Revised August 2014 – supersedes Policy C15.1 Student Alcohol and Drug Policy

I. Introduction

The Center for Intercollegiate Athletics ("CIA") at the Rochester Institute of Technology ("RIT" or the "University") is committed to providing an environment free from use, misuse or abuse of drugs, dietary supplements, performance-enhancing substances, alcohol and tobacco in all of our sports programs. The health, safety and well-being of the student-athletes who participate in its programs and represent the University in competitive athletics is of paramount concern. The use, misuse or abuse of drugs, dietary supplements, performance-enhancing substances, alcohol and tobacco is not consistent with the standards expected of student-athletes at the University and is strictly prohibited. The University desires to educate student-athletes about the derogatory effects of drugs, dietary supplements, performance-enhancing substances, alcohol and tobacco on their performance and, more importantly, on their lives. It is our goal to have our student-athletes recognize the dangers of such use, misuse or abuse and therefore compete and live free of substances.

II. Purpose

The purpose of this Policy is to ensure the health, safety and well-being of our student-athletes and to promote fair competition in intercollegiate athletics and is consistent with National Collegiate Athletics Association ("NCAA") and University policies regarding the use, misuse or abuse of drugs, dietary supplements, performance-enhancing substances, alcohol and tobacco. This Policy will assist the University in identifying student-athletes who are using, misusing or abusing drugs, dietary supplements, performance-enhancing substances, alcohol and/or tobacco so that the University may provide avenues for education, treatment and rehabilitation.

III. Scope

This Policy applies to all student-athletes, potential student-athletes, and those listed on an official University squad list, including but not limited to student-athletes who are either under medical hardship, academically ineligible, injured or using other athletic-related services. Student-athletes shall be subject to this Policy throughout the calendar year, including summer. In addition, student-athletes shall be subject to the provisions of as outlined in the RIT Conduct Process (see, Policies and Procedures Manual, D.18 Student Code of Conduct). RIT Conduct Process, D.18.

IV. Consent to Participate

As a condition of participation in intercollegiate athletics at the University, each student-athlete shall sign a University consent form (view form here) to participate in the Student-Athlete Alcohol and Other Drugs Education and Testing Program (the "Program"), and to authorize the release of testing results in accordance with this Policy. Failure to consent to or comply with the requirements of this Policy may result in suspension from participation or

loss of eligibility to participate in intercollegiate athletics at the University. Prior to signing the University consent form, student-athletes will be given an opportunity to ask any questions regarding the information contained in this Policy and the Program, or other related issues.

V. Education of Student-Athletes and Athletic Staff

Athletes and CIA staff who are educated about substance use in competitive sports are more likely to make informed and intelligent decisions regarding such use, misuse or abuse of substances. All student-athletes and intercollegiate athletic staff are responsible to know federal, state, and local laws as well as the University and CIA policies related to the use, misuse or abuse of drugs, dietary supplements, performance-enhancing substances, alcohol and tobacco.

A. <u>Drug, Dietary Supplements, Performance Enhancing Substances, Alcohol, and Tobacco</u> Education

The Center for Intercollegiate Athletics will provide drug, dietary supplement, performance-enhancing substance, alcohol and tobacco education for student-athletes.. Educational programs will include a review of federal, state, and local laws, as well as, University, CIA policies and NCAA policies related to the use of alcohol, tobacco and other drugs, including dietary supplements and performance-enhancing substances. Educational materials will be available to all student-athletes and CIA staff at any time throughout the year. All student-athletes and CIA staff shall be required to attend educational programs when they are offered.

B. CIA Staff Responsibility

All CIA members must understand and be committed to the Program. No member of the CIA staff shall issue, encourage, assist or advise any student-athlete to take or to obtain performance-enhancing or non-therapeutic drugs. CIA staff shall advise and educate student-athletes against these types of substances and shall report the use, or suspected use, of performance-enhancing and/or non-therapeutic drugs by a student-athlete to an appropriate CIA department administrator. Staff members who violate this Policy shall be subject to discipline, up to and including termination.

C. Education and Counseling with Positive Drug or Alcohol Finding

If a student-athlete is involved in an alcohol or other drug-related incident, or tests positive for alcohol or other drugs, then he or she shall be required to attend additional educational programming and meet with a member of the Counseling and Psychological Services staff for the purposes of evaluation, education and or treatment referral. In all cases, the student- athlete shall sign a release to allow the University Counselor, the Team Physician and/or the Head Athletic Trainer to communicate with the student-athlete's personal mental health counselor and/or physician. The release of information shall be limited to information concerning the student-athlete's ability to safely participate in a competitive athletic sports program. Failure to sign such a release will render the student-athlete ineligible for practice and competition.

In all cases, the student-athlete will be responsible for obtaining signatures from the following individuals: University Counselor, Team Physician, and the Head Athletic Trainer to verify that they can safely participate in a competitive athletics sports program. Failure to obtain these signatures and complete the return to activity form will render the student-athlete ineligible for practice and competition.

VI. Alcohol and Other Drugs Testing Program Procedures

Possession or consumption of alcoholic beverages by individuals under the age of 21 is a violation of state liquor laws, a violation of this Policy and of the RIT Student Code of Conduct, D.18.1 (see also Student Code of Conduct, D.18; Alcohol and Other Drugs, D.18.1, Policies and Procedures Manual). Students who are 21 years of age or over are prohibited from providing, serving, delivering, hosting social events where alcoholic beverages are available to persons under the age of 21. This Policy extends to the recruitment of prospective student-athletes. Prospective student-athletes visiting campus and socializing with current students are expected to participate in all activities without the presence or influence of alcohol or other drugs. Any violation of this Policy and the University's Alcohol and Other Drugs Policy may be disciplined in accordance with the University's disciplinary procedures for students and this Policy.

A. Prohibited Drugs/Substances

Screening conducted pursuant to the Program may include analysis of, but is not limited to, the NCAA list of banned drug classes. NCAA banned drug classes are as follows: stimulants, anabolic agents, diuretics, street drugs, peptide hormones and their related compounds. For a current list of the NCAA Banned Drug Classes List, student-athletes are urged to review the NCAA's web site at www.ncaa.org or contact the Head Athletic Trainer or their sport specific athletic trainer.

Additional substances that the University may screen for pursuant to this Program include alcohol, marijuana, beta-blockers, cocaine, opiates, MDMA (ecstasy), amphetamine/methamphetamine, Phencyclidine (PCP), Barbiturate, Benzodiazepines, and Flunitrazepam (rohypnol). The University reserves the right to test for substances not listed on the NCAA Banned Drug Classes List and to test at detection levels that vary from those of the NCAA. The Program is separate and distinct from the NCAA's drug testing programs, including but not limited to banned substances, sanctions imposed and levels of detection.

B. Selection Methods for Testing Program

1. Unannounced Random Testing

All student-athletes are subject to unannounced random testing at any time, including preseason testing prior to competition. The Executive Director of Intercollegiate Athletics or his or her designee shall randomly select student-athletes from the official squad lists.

2. Reasonable Suspicion Screening

All student-athletes may be subject to testing at any time when the Executive Director of Intercollegiate Athletics or his or her designee determines reasonable suspicion exists to

believe that the student-athlete may using a prohibited substance. Such reasonable suspicion may be based on objective information as determined by the Executive Director of Intercollegiate Athletics or by an Associate/Assistant Athletic Director, Head Coach, Assistant Coach, Head Athletic Trainer, Assistant Athletic Trainer, Team Physician, and deemed reliable by the Executive Director of Intercollegiate Athletics or his/her designee. Reasonable suspicion may include, but is not limited to: (1) observed possession of alcohol or use of substances appearing to be prohibited drugs; (2) arrest or conviction for a criminal offense related to the possession or transfer of prohibited drugs or substances (3) Center for Student Conduct and Conflict Resolution/Public Safety Incident Report that describes observed possession of alcohol or use of substances appearing to be prohibited drugs or (4) observed abnormal appearance, conduct or behavior reasonably interpreted as being caused by the use of prohibited drugs or substances.

Among indicators which may be used in evaluating a student-athlete's abnormal appearance, conduct or performance include but are not limited to: class attendance, significant changes in GPA, athletic practice attendance, increased injury rate or illness, changes in physical appearance, academic/athletic motivational level, emotional condition, and mood changes. If upon reasonable suspicion is verified the Executive Director of Intercollegiate Athletics or his or her designee will notify the student-athlete of the indicators which gave rise to reasonable suspicion and he or she must stay with a member of their coaching staff, the athletics administration staff, or the sports medicine staff, until an adequate specimen is collected.

Note: The possession and/or use of illegal substances may be determined by means other than drug testing. When an individual is found to be in possession and/or using such substances, he/she will be subject to the same procedures that would be followed in the case of a positive drug test.

3. Post-season/Championship Screening

Any student-athlete or team likely to advance to post-season championship competition may be subject to additional testing by the University prior to the post-season championship event. Testing may be required of all team members or individual student-athletes at any time within thirty (30) days prior to the post-season competition. Re-entry Testing A student-athlete who has had his or her eligibility to participate in intercollegiate sports suspended as a result of a positive alcohol or drug test finding, or drug and/or alcohol violation under the University policy, shall be required to undergo re-entry drug and/or alcohol testing prior to regaining eligibility or to continue practicing or conditioning activities. The Executive Director of Intercollegiate Athletics in the student-athlete's case indicates that re-entry into the intercollegiate sports program is appropriate (see also, Request for Reinstatement, below).

4. Follow-up Testing

A student-athlete who has returned to participation in intercollegiate athletics following a positive alcohol or other drugs test under this Policy (refer to Section III Scope) may be subject to follow-up testing throughout the remainder of their athletic eligibility. Testing will be unannounced and will be required at a frequency determined by the Executive Director of

Intercollegiate Athletics or his or her designee in consultation with the counselor or specialist involved in the student-athlete's case.

C. Notification and Reporting for Collections

The student-athlete will be scheduled for and notified of testing by the University either in person, by direct telephone communication, or other reasonable or practicable means under the circumstances. He or she will be informed of the date, time and location to report to the collection station. The University is not required to give advanced notice prior to the administration of any drug tests.

Any student-athlete who refuses to provide an adequate specimen for testing, attempts to alter or substitute his or her sample, or fails to show up as scheduled, shall be in violation of this policy and will be cause for the same action(s) as a positive drug test result.

D. Positive Finding or Self Disclosure of Alcohol or Other Drugs Use

In all cases where a student-athlete has tested positive for alcohol or other drugs under the Program, the testing laboratory (or third party administrator) will report the positive drug test finding(s) to the Head Athletic Trainer. The Head Athletic Trainer will then contact the team physician to review the positive result. The Head Athletic Trainer will also contact the: student-athlete;

- Executive Director of Intercollegiate Athletics;
- RIT's Office of Student Conduct & Conflict Resolution ("Conduct Office"); and
- Head Coach and/or Assistant Coach.

The Associate Director of Athletics or a designee of the Executive Director of Athletics will schedule a confidential meeting with the student-athlete and the following individuals the Executive Director of Intercollegiate Athletics, Head Athletic Trainer (or designee), and Head Coach and/or Assistant Coach to inform them of the finding(s), and to provide the student-athlete with written notice of the finding(s) and applicable sanction. In addition, the Executive Director of Intercollegiate Athletics, or his or her designee, may notify the student-athlete's parent(s) or legal guardian(s) by telephone and in writing of the positive finding(s) and applicable sanction. In cases where a student-athlete self-discloses the use of alcohol or other drugs after selection for testing or during the testing process, the disclosure will be deemed a positive alcohol or drug test finding.

In all cases of positive findings for alcohol or other drugs, the student-athlete shall be required to attend a counseling session for the purposes of evaluation, education, and if necessary, treatment referral or continued counseling. The counselor shall determine the appropriate form(s) of intervention needed by the student-athlete, based on the circumstances of each case. Failing to meaningfully participate in the evaluation/counseling process, in the sole discretion of the counselor, will be deemed an additional offense.

If the counselor believes that additional treatment is necessary either on or off-campus, then all costs associated with additional rehabilitation shall be the responsibility of the student-athlete. In addition, the student-athlete will be subject to follow-up testing under the Program. Testing will be unannounced and will be required at a frequency determined by the Executive Director of Intercollegiate Athletics or his/her designee.

VII. Alcohol Sanctions for Positive Findings of Alcohol

A. First Alcohol Positive

The student-athlete will be immediately suspended from participation in any intercollegiate competition at the University. The suspension will be for a period of time equivalent to 10% of his or her regular season of competition. The determination of the 10% always will be rounded up (e.g. if 10% equals 2.3 games, then the student-athlete will be suspended for 3 games). The suspension shall be served starting with the next scheduled contest or date of competition and will be in effect for both regular season and post-season competition (e.g. exhibition contests, scrimmages, and/or non-traditional season contests cannot be used to serve the suspension). The suspension will rollover to the next season of competition if necessary. If the alcohol-related offense occurs during the off-season, then the suspension will be served during the next season of competition. If the alcohol related offense occurs at the end of the regular season but prior to post-season competition, then it shall be served during the post-season competition. After passing a drug test, the student-athlete must be medically authorized by a University Team Physician, in conjunction with any counselor and/or therapist, prior to resuming any athletic practice or competition.

B. Second Alcohol Positive

The student-athlete will be immediately suspended from participation in any intercollegiate competition at the University. The suspension will be for a period of time equivalent to 100% of his or her regular season of competition. The suspension shall be served starting with the next scheduled contest or date of competition and will be in effect for both regular season and post- season competition (e.g. exhibition contests, scrimmages, and/or non-traditional season contests cannot be used to serve the suspension). The suspension will rollover to the next season of competition if necessary. If the positive alcohol test result occurs during the off-season, the suspension will be served during the next season of competition. If the positive drug test result occurs at the end of the regular season but prior to post-season competition, it shall be served during the post-season competition. After passing a drug test, the student-athlete must be medically authorized by the Team Physician, in conjunction with any counselor and/or therapist, prior to resuming any athletic practice or competition.

C. Third Alcohol Positive

The student-athlete will be immediately and permanently expelled from participation in any intercollegiate practice, training and competition at the University.

VIII. Sanctions for Positive Finding of Drug or Related Substances

A. First Drug Positive

The student-athlete will be immediately suspended from participation in any intercollegiate competition at the University. The suspension will be for a period of time equivalent to 100% of his or her regular season of competition. The suspension shall be served starting with the next scheduled contest or date of competition and will be in effect for both regular season and post-season competition (e.g. exhibition contests, scrimmages, and/or non-traditional season contests cannot be used to serve the suspension). The suspension will rollover to the next traditional season of competition if necessary. If the positive drug test result occurs

during the off-season, the suspension will be served during the next season of competition. If the positive drug test result occurs at the end of the regular season but prior to post-season competition, it shall be served during the post-season competition. After passing a drug test, the student-athlete must be medically authorized by the Team Physician, in conjunction with any counselor and/or therapist, prior to resuming any athletic practice or competition.

B. Second Drug Positive

The student-athlete will be immediately and permanently expelled from participation in any intercollegiate practice, training and competition at the University.

IX. Implied Findings of Positive Drug or Alcohol Use

A student-athlete who is convicted of a drug or alcohol related offense, which includes any violation of federal, state, or local law, shall be deemed a positive alcohol or drug test for the purpose of determining sanctions under this Program. In addition, any student-athlete who is involved in an alcohol or other drug related incident under the RIT Student Code of Conduct shall be deemed in violation of this Policy and may be sanctioned accordingly.

In addition, a positive drug test result under the NCAA's drug testing program, shall be deemed a positive drug test result under the Program. A student-athlete under a drug-testing suspension from a national or international sports governing body that has adopted the World Anti-Doping Agency ("WADA") code (e.g., USOC, USADA) shall not participate in NCAA intercollegiate competition for the duration of the suspension (see NCAA Bylaw 18.4.1.5.3.).

X. <u>Use of Dietary Supplements</u>

Many dietary supplements or ergogenic aids contain banned substances. Often the labeling of dietary supplements is inaccurate and misleading. Terms such as "healthy" or "all natural" do not mean dietary supplements are free of banned substance or are safe to take. Using dietary supplements may lead to negative side effects such as dehydration and/or may cause positive drug tests. Student-athletes who are currently taking dietary supplements or intend to take any shall be required to review the product with the Head Athletic Trainer or Team Physician. In addition, all CIA staff members shall direct student-athletes who desire to use dietary supplements to the Head Athletic Trainer or the Team Physician.

Student-athletes are encouraged to contact the National Center for Drug Free Sport, Inc. at www.drugfreesport.com or the Dietary Supplement Resource Exchange Center (REC) at www.drugfreesport.com/rec for information on dietary supplements and other banned substances.

XI. Use of Tobacco Products

The NCAA and the University prohibits the use of tobacco products by all game personnel (e.g. coaches, student-athletes, athletic trainers, managers and game officials) in all sports during practice and competition (see NCAA Bylaws 11.1.5. and 17.1.7). A student-athlete who violates this tobacco policy shall be disqualified for the remainder of that practice or competition. The Executive Director of Intercollegiate Athletics or his or her designee will

sanction other game personnel (e.g. head or assistant coach) who violate this tobacco policy on a case-by-case basis.

XII. Medical Exception Process

The University recognizes that some banned substances are used for legitimate medical purposes. Accordingly, CIA allows exceptions to be made for those student-athletes with a documented medical purpose demonstrating a need for regular use of such a substance. Exceptions may be granted for substances included in the following classes of banned drugs: stimulants, beta-blockers, diuretics and peptide hormones.

Student-athletes are required to inform the Head Athletic Trainer of all medications (prescribed and over-the-counter) that he or she is taking. The University should maintain in the student- athlete's records a letter from the prescribing physician that documents the student-athlete's medical need for regular use of any such drug. The letter should contain information as to the diagnosis (including appropriate verification), medical history and dosage information.

In the event a student-athlete tests positive, the Head Athletic Trainer in consultation with the Team Physician, will review the student-athlete's medical record to determine whether a medical exception should be granted.

XIII. Voluntary Disclosures

A. Safe Harbor Program

A student-athlete who has engaged in prohibited drug or alcohol use is encouraged to seek assistance from the Center for Intercollegiate Athletics (e.g. Head Athletic Trainer, Team Physician, or Coach) by voluntarily disclosing his or her use.

If any student-athlete seeks assistance from the Center for Intercollegiate Athletics, prior to an incident involving drug or alcohol use, or prior to being notified that he or she has been selected for Program testing, then any impermissible use will be excused under this Policy for purposes of determining sanctions (the "Safe Harbor Program"). However, for health and safety reasons the student-athlete will be ineligible to participate in intercollegiate sports pending an evaluation. Nor will a student-athlete be permitted to enter the Safe Harbor Program thirty (30) days prior to NCAA or conference post-season competition. Upon entering the Safe Harbor Program, the student-athlete will be required to undergo an initial drug test as well as an evaluation by a substance abuse professional. Any initial positive drug test result will be excused under this Policy for purposes of imposing any sanctions. The substance abuse professional shall determine the appropriate form(s) of intervention and rehabilitation needed by the student-athlete, based on the circumstances of the case. The counselor will provide a summary of his or her findings and recommendations to the Executive Director of Intercollegiate Athletics.

A student-athlete will be permitted to remain in the Safe Harbor Program for a reasonable period of time, not to exceed thirty (30) days, as determined by the treatment plan and will be removed from the random selection pool of student-athletes. However, the student-athlete

will not be permitted to return to participation in intercollegiate sports until the counselor has interviewed the student-athlete following the conclusion of the recommended treatment (or stage of treatment, as applicable) and has determined that re-entry into intercollegiate sports is appropriate. If the counselor deems it necessary, the student-athlete will be required to undergo further drug and/or alcohol testing as part of the re-entry evaluation. In order to safely re-enter in to participation, a negative drug test is required to be on file with the Athletic Training Office.

Failing to complete the treatment recommended by the counselor, having a second positive drug test result for any banned substance after entering the Safe Harbor Program that is determined to be a result of new drug use, or having a positive result on a re-entry drug or alcohol test will be deemed a second offense under this Policy (e.g., the initial positive drug test result plus the second/new positive drug test result).

If the student-athlete regains his or her eligibility to participate in intercollegiate athletics, he or she may be required to undergo unannounced follow-up tests at the discretion of the Executive Director of Intercollegiate Athletics or his or her designee in consultation with the counselor.

A positive drug or alcohol test result at any time after satisfactorily completing the Safe Harbor Program will count as the first positive drug or alcohol test result.

B. Good Samaritan Protocol

The Good Samaritan protocol can be found at <u>D.18.1</u>, Alcohol and Other Drugs, Policies and Procedures Manual and in the Student Code of Conduct (D. 18.0).

XIV. Grievance Procedures

A. Confirmation of Positive Finding

If a student-athlete is notified of a positive alcohol or drug finding under the Program, then the student-athlete may request that the laboratory perform confirmation testing on the student-athlete's original specimen or B specimen if applicable. The University will bear the cost of the confirmation testing.

B. Appeal Procedure

Student-athletes who test positive for alcohol, drugs or any banned substance may appeal. The appeal must be in writing to the Executive Director of Intercollegiate Athletics and within seven (7) calendar days of written notice of the finding and sanction. Notably, all positive drug tests results are conducted by an independent laboratory and may be tested under two (2) different test methods to ensure accuracy. Accordingly positive tests are presumptively valid.

Upon receipt of a notice of appeal, the Executive Director of Intercollegiate Athletics will forward the request for a hearing to the Appeals and Reinstatement Committee. The Appeals

and Reinstatement Committee may include a Team Physician, the Faculty Athletic Representative, a substance abuse counselor, a coach from a sport other than the sport for which the student-athlete participates, and a sport administrator appointed by the Executive Director of Intercollegiate Athletics, and any other member of the RIT community appointed by the Senior Vice President of Student Affairs. Appeals shall be scheduled and heard within seven (7) business days of a notice of appeal, unless the student-athlete requests an extension of time upon good cause shown.

The student-athlete may have an advocate of their choice selected from the list of trained advocates provided by the Center for Student Conduct and Conflict Resolution (see RIT Advocacy Program) present at the hearing to provide quiet counsel, but only the student-athlete may address the Appeals and Reinstatement Committee. During the appeal the student-athlete may present evidence, question any testimony or documents presented, as well as to review the results of the drug test.

The Appeals and Reinstatement Committee is authorized to affirm, modify or reverse a sanction based on the testimony presented at a hearing. The decision of the Appeals and Reinstatement Committee shall be final and will be communicated in writing to the student-athlete within three (3) business days of conclusion of the hearing.

While a student-athlete is in the process of appealing, he or she shall be temporarily suspended from competition, but may participate in practice and conditioning activities pursuant to the terms of this Policy, pending a final outcome by the Appeals and Reinstatement Committee.

C. Request for Reinstatement Following Sanction

At the end of a one-year period of suspension (after a first positive drug test result or after a second alcohol or drug related offense) and following satisfactory completion of an appropriate treatment and aftercare program, the student-athlete may apply for reinstatement to the Executive Director of Intercollegiate Athletics. Reinstatement shall not be considered automatic and shall be addressed on a case-by-case basis.

The request for reinstatement shall be in writing and made to the Executive Director of Intercollegiate Athletics and should state the grounds on which the student-athlete believes he/she should be reinstated. The Executive Director of Intercollegiate Athletics will forward the request for reinstatement to the Appeals and Reinstatement Committee. The committee shall review the request for reinstatement and make a determination within ten (10) business days of receiving the request.

The decision of the Appeals and Reinstatement Committee shall be final.

XV. Resources for Athletes in Need of Assistance

If any student-athlete is in need of assistance or support for a substance abuse problem, there are resources available on campus and within the community for counseling and education about use, misuse, and abuse of alcohol and other drugs. These resources include:

On Campus Resources

<u>RIT Public Safety</u> (24 hours a day, 7 days a week) (585) 475-2853 (V) or (585) 475-6654 (TTY)

Substance & Alcohol Intervention Services for the Deaf

(585) 475-4963 (V) and (585) 475-4963 (TTY)

RIT Student Counseling and Psychological Services (Confidential)

(585) 475-2261 (V) or (585) 475-6897 (TTY)

RIT Student Health Center (Confidential)

(585) 475-2255 (V)

Off Campus Resources (Greater Rochester Area & National)

Greater Rochester NY Alcohol Anonymous

1000 Elmwood Avenue, Greenhouse, Rochester NY 14620 (585) 232-6720

Rochester Area Service Committee of Narcotics Anonymous

24 hour hotline (585) 235-7889

Al-Anon Family Groups (AFG) of Greater Rochester

805 Blossom Road, Rochester, NY 14610 (585) 288-0540

Alcoholism & Substance Abuse Providers of New York State (ASAP)

1 Columbia Place, Albany, NY 12207 (518) 426-3122

XVI. Review of Policy

A committee appointed by the Senior Vice President of Student Affairs shall review this Policy biannually.

Appendix 3

C15.2 FACULTY/STAFF ALCOHOL AND DRUG POLICY

Application: This policy applies to all employees.

RIT, in compliance with the Federal Drug Free Workplace Act of 1988, is committed to

providing a safe and healthy environment free from drug and alcohol abuse.

ALCOHOL USE

Reporting to work inebriated or becoming inebriated while at work is prohibited. This

prohibition applies at RIT-sponsored activities and during the supervision of students at off-

campus activities. The manufacture, distribution, dispensing, possession, or use of alcohol in

violation of local, state or federal law is prohibited at RIT.

DRUG USE

The manufacture, distribution, dispensing, possession, or use of a controlled substance in

violation of local, state or federal law is prohibited at RIT. An investigation will be made and

appropriate actions taken for violations occurring within RIT environs or at RIT sanctioned

events.

Employees using legal medications should inform their supervisor or department head if the

effects of the medication could interfere with their ability to perform the job in a safe and

efficient manner. In this case, the supervisor or department head should attempt if necessary to

provide a safer working environment for the affected employees until the use of the medication

is no longer required.

DEFINITIONS

The following definitions comply with the Federal Drug Free Workplace Act of 1988.

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Alcoholic Beverage - Any beverage defined as "alcoholic" by the New York State Alcoholic Beverage Control Law.

Controlled Substances - Any item or substance, natural or synthetic, defined as a controlled substance by Schedules I-V of both (a) the New York State Public Health Law, Section 3306 and (b) the Comprehensive Drug Abuse Prevention and Control Act of 1970, 21 U.S.C, Section 801.

Marijuana - Any item or substance defined as cannabis or a derivative thereof by both (a) the New York State Public Health Law, Section 3302 and (b) the Comprehensive Drug Abuse Prevention and Control Act of 1970, 21 U.S.C. Section 801.

Precursors to Controlled Substance - Unlawful possession of chemical elements with the intent to manufacture controlled substances, as defined in the New York State Penal Law, Article 220.60.

Drug Related Paraphernalia - Possession, sale, or use of items and instruments used in the manufacture, packaging, or administration of controlled substances, as defined in the New York State Penal Law, Articles 220.45; 220.50; 220.55.

PROCEDURES

An employee whose performance on the job reasonably suggests that the employee is in violation of this policy should be informed of the university policy by his/her supervisor or department head.

The supervisor or department head should then follow the guidelines below:

• If it appears that the employee may need medical attention as a result of the apparent condition, an ambulance (585-475-3333 or Text 585-205-8333) should be called. Public Safety and Human Resources should also be updated once an ambulance is called.

- If the employee appears to be unable to function normally or perform his/her work in a safe and/or effective manner, the employee should stop work activities immediately and Public Safety and Human Resources should be contacted.
- Employees holding a Commercial Driver's License, and who perform a safety sensitive function will be required to submit to a drug test immediately.

Human Resources, in coordination with Public Safety, will conduct a thorough investigation of the incident. Once completed HR will work with the employee's direct manager (and other leadership as appropriate) to determine the appropriate path forward. Violations of this policy will be addressed through appropriate disciplinary action, up to and including termination; and/or the employee may be required to satisfactorily participate in a drug abuse assistance or rehabilitation program approved by a Federal, State, or local health, law enforcement, or other appropriate agency.

Supervisors or department heads who knowingly allow anyone under their supervision to violate this policy and/or work while inebriated or under the influence of illegal drugs will also be subject to disciplinary action.

If criminal charges are brought <u>and</u> an employee is <u>convicted</u> of a criminal drug statute for a violation occurring in the workplace, the following steps must be taken in order to be in compliance with the Federal Drug Free Workplace Act of 1988:

- The convicted employee must notify his/her supervisor within five (5) working days.
- The AVP of Human Resources (and the principal investigator, if appropriate) must be notified within (10) ten working days of the conviction.
- If the employee is paid from a federal grant or contract, proper notice will be provided to the appropriate contracting agency.

Employees who believe that these procedures have been unfairly or improperly implemented in their regard are referred to the Faculty Grievance Procedure (E24.0) or the Staff Grievance Procedure (E30.0).

Additional information regarding RIT's Workplace Drug Free Workplace Statement for Faculty/Staff may be found at:

https://www.rit.edu/fa/humanresources/content/drug-free-workplace

Responsible Office:

Department of Human Resources

Effective Date:

Approved 5/13/98 to replace Policy C15.0

Policy History:

Edited January 2020

Edited August, 2010

Appendix 4

C16.0 TOBACCO-RESTRICTED UNIVERSITY POLICY

I. Scope

This policy applies to all students, faculty, staff, administrators, vendors, and visitors while on RIT-managed properties located in the United States (hereafter referred to as *university-managed properties*).

II. Policy Statement

The university is committed to facilitating a healthy working, learning, and living environment. To prevent harm to members of the RIT community and to be consistent with university wellness initiatives, smoking and use of tobacco products are restricted to designated tobacco areas on all university-managed properties. The restrictions include, but are not limited to:

- Buildings
- Parking lots, athletic fields/tracks, bleachers/grandstands, outdoor paths, fields, and roads
- Partially-enclosed areas such as covered and uncovered walkways, breezeways, outdoor dining areas, bus stop shelters, loading docks, building entrances, exterior stairways, and landing
- Vehicles owned and leased by RIT or its affiliated organizations.

III. Definitions

Tobacco Products. Tobacco products are defined as combustible items (including but not limited to cigarettes, cigars, and pipes) and non-combustible items (including but not limited to chewing tobacco and e-cigarettes).

University-Managed Properties. University-managed properties are defined as properties owned, operated, leased, occupied, or controlled by RIT that are located in the United States.

IV. Exceptions

RIT has five apartment complexes with 1,000 apartment and townhouses. The five complexes include: Colony Manor, Perkins Green, Racquet Club, Riverknoll and the University Commons. Tobacco use in these complexes is prohibited within 25 feet of any apartment or townhouse building.

Smoking or use of tobacco products is permitted by participants in a research project involving tobacco products. Any sponsored or unsponsored research involving smoking is subject to the approval of the IRB (Institutional Review Board) or the IACUC (Institute Animal Care and Use Committee) as appropriate.

Responsible Offices:

Student Wellness, Division of Student Affairs

Department of Human Resources - Employee Wellness, Division of Finance & Administration

Effective Date:

Policy History:

Issued Personnel Policy 6.14 issued June 1, 1984
Revised October 2003
Edited August 2010
Revision approved May 7, 2014 by Institute Council (replaces policy formerly titled Smoking)

Appendix 5

Programs for Students

During the spring of 2020 all students, faculty, and staff transitioned to working from home/classes from home due to COVID-19 mandates and protocols put into place. This transition had a significant impact on programs offered and program attendance.

Name of Program or Training	Timeline of Programs	Program Objectives
21st Birthday E-mail	Once a Month Starting in August 2019 – continuous program, e- mails sent monthly to all students during 21.	 Provide information about alcohol education to students turning 21. Provide harm reduction strategies to students turning 21.
STOP DWI Events	Throughout semesters	 Increase awareness about DWI related to alcohol and marijuana Provide education about high risk nights for DWI Provide resources to students about alcohol and other substances
Spooky Spirits	Fall semester prior to Halloween	 Identify responsible hosting strategies Define standard serving size for one serving beer, one serving of liquor, one serving of wine
Good Samaritan Protocol Table Event	Throughout semesters	 Provide education about the Good Samaritan Protocol
Narcan Training	Fall semester 2019, early spring semester 2020, will revisit fall 2021	 Define NY 911 Good Samaritan Law Recall Overdose Understanding & Recognition List Risk Factors Articulate Five Essential Rescue Steps - Substance Abuse and Mental Health Services Administration (SAMHSA) Opioid Overdose Prevention Toolkit
Gobble Don't Wobble	Thanksgiving timeframe	 Raise awareness about drunk/impaired driving the night of Thanksgiving Eve Provide resources and education about alcohol education

Ready. Set. B.R.E.A.K (Formally Safe St. Patty's Day)	Spring Break timeframe	 Increase awareness about the dangers of overconsumption Identify harm reduction strategies Identify resources on campus for support related to AOD use and abuse
Party PAWS Programs	Throughout semesters	 Distribute general harm reduction information related to alcohol use Decrease misperceptions related to use Identify signs of alcohol and THC poisoning Increase knowledge of Good Samaritan Protocol
Wellness Peer Educator Programming	Throughout semesters	Standard serving size informationHarm reduction strategies
Resident Assistance	Prior to start of fall semester	 Basic alcohol and marijuana information, what it is, harms associated with it, long term and short term health effects
Student Orientation Staff	Prior to start of fall semester	 Basic alcohol and marijuana information, what it is, harms associated with it, long term and short term health effects
Programming Fraternity and Sorority Life	Once a semester for fall and spring	 General alcohol and cannabis education (harm reduction), THC and alcohol poisoning information, Good Sam. Protocol, Social hosting

E-mail to students and staff

What Cannabis Legalization in NYS means for the RIT Campus Community

Rochester Institute of Technology

Dear RIT Students,

I write to you today to ensure clarity regarding the legalization of recreational use of cannabis (also known as marijuana) in New York State and what it means for the RIT campus community. In short, possession, consumption and sale of cannabis (marijuana) is still prohibited under federal law and RIT is bound by the federal requirements under the Drug-Free Schools and Communities Act, therefore, possession, use, cultivation, and sale of cannabis (marijuana) remains prohibited as outlined in the *Student Code of Conduct*.

You cannot possess or consume cannabis (marijuana), in any form, on any RIT property (buildings and grounds) including RIT Housing (e.g., residence halls and on-campus apartments).

Members of the RIT community represent not only academic excellence but also personal excellence; thus, as a member of the RIT community, we ask you to be mindful of the following:

- Consuming cannabis (marijuana) under the age of 21 is still illegal in New York State.
- Purchasing cannabis (marijuana) for yourself or others under the age of 21 is against New York State law and the *Student Code of Conduct*.
- Students are accountable for their behavior and behavior of their guests in accordance with the *Student Code of Conduct*. This includes behavior that occurs off-campus.

If you are looking for more information on the health risks associated with cannabis (marijuana) use, please reference the following resources:

- Centers for Disease Control Health Effects of Marijuana
- National Institute on Drug Abuse Marijuana Drug Facts

The health and safety of our students is of utmost importance to us. If you are concerned about your substance use or another student's substance use, there are resources available on and off campus.

- <u>Counseling and Psychological Services</u> call 585-475-2261 or send a secure message via the RIT Wellness Portal
- <u>Health Promotion</u> <u>seehcw@rit.edu</u>
- Substance and Addiction Intervention Services for the Deaf SAISD@rit.edu
- Student Conduct and Conflict Resolution Good Samaritan Protocol

Most importantly, if you are concerned about a situation or the health and safety of yourself or someone else, do not hesitate to call Public Safety at (585) 475-3333 (v/tty), (585) 205-8333 (text) or if off campus, the Monroe County Sheriff's Department at 911.

If you have any questions, please do not hesitate to contact my office at studentaffairs@rit.edu.

Sincerely,

Dr. Sandra (Sandy) Johnson Senior Vice President for Student Affairs

Rochester Institute of Technology

rit.edu

E-mail to employees:

Dear Colleagues,

RIT is committed to providing a safe and healthy environment free from drug and alcohol abuse, in compliance with the Federal Drug Free Workplace Act of 1988 and Drug-Free Schools and Communities Act Amendments of 1989. This email serves to notify faculty and staff of the annual statement required by the aforementioned Acts. Supervisors with employees who have limited access to email, please distribute this information accordingly.

The required materials may be accessed here: Drug Free Workplace. Information includes: RIT's Annual Drug Free Workplace Statement Appendix A – Federal Penalties Appendix B – New York State Penalties Copy of this email

Please review the materials linked above and feel free to engage any of the noted resources for assistance as needed, including our EAP partner, Guidance Resources:

Phone: 1-844-572-9730 (Voice)

1-800-697-0353 (TTY)

Online: www.guidanceresources.com

Web ID: RITEAP

More information on EAP is available on RIT's HR website.

Alcohol at Events Policy

Policy Name: POLICY ON UNIVERSITY EVENTS WITH ALCOHOLIC BEVERAGES

Scope. All members of the RIT Community shall comply with this Policy. This Policy applies to all University Events, as defined below, where Alcoholic Beverages are served or consumed. Other university governance policies, such as the Faculty/Staff Alcohol and Drug Policy (C15.2), Alcohol and Other Drugs Policy (D18.1), and Vending Policy (C20.0) may also apply to University Events. In addition, other university administrative policies, such as the Controller's Office Policies for Selected Discretionary Expenditures and the registration process for student clubs and organizational events may require additional authorizations and registrations for University Events. Compliance with this policy shall be in addition to compliance with all other applicable policies. This Policy does not apply to RIT global locations.

I. POLICY STATEMENT

RIT is committed to actively supporting the health and wellness of all members of the RIT Community. In addition, RIT is committed to upholding local, state, and federal laws relating to the sale, distribution, and consumption of Alcoholic Beverages. This Policy seeks to support RIT's commitments by establishing guidelines for the legal and responsible use of Alcoholic Beverages at University Events.

II. DEFINITIONS

Terms not defined elsewhere in this Policy are defined below.

- A. Alcoholic Beverages include, but are not limited to, alcohol, spirits, liquor, wine, beer, and any liquid containing or fortified with alcohol, spirits, liquor, wine, and beer.
- B. Closed Event means a University Event that is limited to a particular university constituency by invitation only.
- C. Open Event means a University Event that is not limited to a particular university constituency by invitation only.
- D. RIT Community means any individual authorized to be on the RIT campus including administrators, faculty, staff, students and student organizations, alumni, and external organizations and individuals in their operations with RIT.
- E. Specific University Purpose means one that advances the mission of the university, including the performance of job duties, and is consistent with its status as a New York not-for-profit educational corporation. University Events paid for or financed by the university shall be deemed to be held for a Specific University Purpose.
- F. University Entity or Representative means a group or individual that rents or uses university property, a campus organization, a university college, division, department or unit, or an individual acting within the scope of their employment, on behalf of the university, for members of the university community.

- G. University Event means a gathering of fifty (50) or more individuals sponsored by a University Entity or Representative, on or off-campus, in property owned, leased, or controlled by the university. A University Event must be held for a Specific University Purpose in order to be governed by this Policy. A gathering of less than fifty (50) individuals is not a University Event, as defined under this Policy, and, therefore, not governed by this Policy even if sponsored by a University Entity or Representative and held for a Specific University Purpose. A gathering that is not a University Event may be required to comply with other applicable governance and administrative policies.
- H. University Official means an officer of the university as defined in the RIT By-Laws (B00.1) or their designee. Public Safety officers shall also be considered University Officials for purposes of this Policy.

III. GENERAL PROVISIONS

- A. The service of Alcoholic Beverages is permitted at University Events only in accordance with the principles set forth in this Policy and applicable law.
- B. All University Events with Alcoholic Beverages shall be sponsored and registered. Certain University Events with Alcoholic Beverages will also be required to obtain a valid state liquor permit or license in advance of the University Event. (See Section E below).
- C. Sponsoring a University Event with Alcoholic Beverages
- 1) A University Event with Alcoholic Beverages shall be sponsored by a current RIT faculty, staff, or student, who is over the age of twenty-one (21), has managerial or financial authority for the University Event and whose approval is required for the University Event. For purposes of this policy, an RIT faculty, staff, or student has managerial authority for the University Event when they can unilaterally cancel, alter, or reschedule the University Event without further approvals. An RIT faculty, staff, or student has financial authority for the University Event when they have signatory authority to spend the funds required for the University Event without further approvals.
- 2) The sponsor shall ensure that the provisions of this Policy are enforced at the University Event.
- 3) The sponsor may, but is not required to, be present at the University Event. If the sponsor is not present at the University Event, they shall designate an individual attending the event as the person responsible for fulfilling the sponsor's obligations under this policy, and who otherwise satisfies the qualifications of a sponsoring individual under subsection 1.
- 4) University Events where behavior is deemed inappropriate due to the service of alcohol, may be terminated by the sponsor or a University Official at any time, with or without notice.
- 5) The service of Alcoholic Beverages at University Events may be suspended at the sole discretion of the sponsor or a University Official if it

is determined by the sponsor or a University Official that violations of this Policy are occurring or are likely to occur.

- 6) The sponsor or a University Official may determine within their sole discretion that RIT Public Safety Officers or security designees will be required and designated in advance of the University Event.
- 7) The sponsor's campus organization, university college, division, department or unit, shall be held monetarily responsible for any damage or additional cleaning charges assessed as a result of the University Event.
- D. Registering a University Event with Alcoholic Beverages
- 1) A University Event with Alcoholic Beverages shall also be registered.
- 2) A University Event with Alcoholic Beverages sponsored by a student or student organization shall be registered utilizing the registration process for student clubs and organizational events.
- 3) A University Event with Alcoholic Beverages sponsored by a University Entity or Representative shall be registered utilizing the form available at (insert link to registration form to be developed).
- E. Licensing a University Event with Alcoholic Beverages
- 1) All University Events where Alcoholic Beverages are available for purchase shall be sponsored, registered and shall also have the appropriate state alcohol permit or license in advance of the University Event.
- a. Alcoholic Beverages are available for purchase if it is sold at the University Event.
- 2) All University Events where Alcoholic Beverages are available for other consideration shall be sponsored, registered and shall also have the appropriate state alcohol permit or license in advance of the University Event.
- 3) Alcoholic beverages are available for other consideration if it is:
- a. part of the purchase of an admission ticket for the University Event, or
- b. served as part of a purchased meal, or
- c. provided in exchange for a donation or something of value.
- 4) All University Events with Alcoholic Beverages required to be licensed under the provisions of this Policy, shall be catered by either Brick City Catering or a third party catering company licensed to sell Alcoholic Beverages in the state where the University Event is being held.
- a. If the University Event is catered by Brick City Catering, the sponsor shall work with Brick City Catering to obtain the appropriate state alcohol permit or license.
- b. If the University Event is catered by a third party catering company, the sponsor shall ensure that the third party catering

company is licensed to sell Alcoholic Beverages and that the appropriate state alcohol permit or license is obtained for the catered University Event.

- 5) University Events held at locations already licensed to sell Alcoholic Beverages are not required to obtain an additional state alcohol permit or license, provided that the Alcoholic Beverages are purchased from the licensed location.
- F. Alcoholic Beverages must be served by a properly trained individual at University Events on an individual basis (i.e. one drink per person basis) and only to those of legal drinking age. A properly trained individual is one that has successfully completed a skills based program designed to prevent intoxication and underage drinking.
- 1) Self-service of Alcoholic Beverages is prohibited.
- 2) Drink specials are prohibited.
- 3) An open bar may be allowed only at Closed Events.
- 4) An open bar is prohibited at Open Events.
- G. University Events with Alcoholic Beverages may be restricted to those of legal drinking age.
- 1) If attendance at University Events with Alcoholic Beverages is allowed by those not of legal drinking age, the sponsor or a University Official shall put in place reasonable measures to prevent the consumption of Alcoholic Beverages by those not of legal drinking age.
- 2) Reasonable measures to prevent the consumption of Alcoholic Beverages by those not of legal drinking age shall include, but not be limited to:
- a. the presentation of a valid government issued identification as proof of age, or
- b. the creation of separate designated drinking areas, or
- c. the identification by stamp, wristband, or other visible means of those not of legal drinking age.
- H. University Events with Alcoholic Beverages shall advertise in accordance with applicable law and the provisions of this Policy.
- 1) The presence and availability of Alcoholic Beverages at the University Event shall not be emphasized in any advertisement.
- 2) No logos and/or brands of Alcoholic Beverages shall be used in any advertisement.
- 3) The prices of Alcoholic Beverages shall not be listed on any advertisement.
- 4) All advertisements shall state that a valid government issued identification showing proof of age is required to purchase alcohol.
- I. University Events with Alcoholic Beverages shall also provide food and non-Alcoholic Beverages. Snack food such as chips and pretzels are not sufficient for compliance with this provision of this Policy.