

RIT | Compliance and Ethics

Office of Compliance and Ethics

- Reports to the Senior VP of Finance and Administration
- Promotes RIT's commitment to compliance and ethics
- Prepares program updates for the Audit Committee and ERM Subcommittee
- Manages ERM Program and Compliance and Ethics Program
- Supports university-wide compliance and ethics activities

Board of Trustees

- Audit Committee reviews Office of Compliance and Ethics activities
- ERM Subcommittee reviews risk mitigation/treatment plans
- ERM Subcommittee approves Institute Risk Map

Executive Risk and Compliance Network (ERCC)

- Directs policy and sets "Tone at the Top"
- Monitors progress in key risk and compliance areas
- Provides updates and recommendations to the Board of Trustees
- Recommends allocation of resources
- Oversees creation and implementation of Institute Risk Map

University Risk and Compliance Committee (URCC)

- Identifies and monitors progress in key risk and compliance areas
- Supports coordination of risk and compliance activities across the university
- Reviews updates, recent developments, and best practices

University Risk and Compliance Network (URCN)

- Serves as subject matter experts on key risk and compliance areas
- Provides information on risk and compliance activities for their area to the URCC and OCE as needed