



# OFFICE OF COMPLIANCE AND ETHICS

## Office of Compliance and Ethics

- Reports to the Senior VP of Finance and Administration
- Promotes RIT's Commitment to Compliance & Ethics
- Prepares program updates for the Audit Committee
- Manages ERM initiative and Compliance and Ethics Program
- Supports University-wide compliance and ethics activities

### Executive Risk and Compliance Committee (ERCC)

- Directs policy and sets the "Tone at the Top"
- Monitors progress in key risk and compliance areas
- Provides updates and recommendations to the ERM Subcommittee of the Board
- Recommends allocation of resources
- Oversees creation and implementation of Institute Risk Map

### University Risk and Compliance Committee (URCC)

- Identifies and monitors progress in key risk and compliance areas
- Supports coordination of risk and compliance activities across the university
- Reviews updates, recent developments and best practices

### University Risk and Compliance Network (URCN)

- Serves as subject matter experts on key risk and compliance areas
- Provides information on risk and compliance activities for their area to URCC as needed