RAFFLE RULES & APPROVAL PROCESS

Raffles are games of chance in which people purchase consecutively numbered tickets for the chance to win a prize. Raffles are governed by, and must be conducted in accordance with, all rules and regulations specified under state and local laws. New York law has strict rules about raffles but does allow not-for-profit organizations to conduct raffles and Henrietta Town law requires that certain raffles conducted in the Town of Henrietta be licensed.

In order to determine if a raffle must be licensed, RIT requires that every raffle be approved by the RIT Office of Legal Affairs or the RIT AVP for Compliance and Ethics before any tickets are sold. Certain RIT departments may require additional approvals, as well. Raffle Approval Forms must be submitted no less than seven (7) days before the raffle drawing date or the raffle will not be approved. Assuming appropriate approvals have been obtained, the following rules apply:

1. If the drawing for the raffle prize occurs on the same day as the sale of raffle tickets, you may use two-part, rolled, admission-style tickets. However, if raffle tickets will be sold in advance of date of the raffle prize drawing date (regardless of the number of days in advance), the raffle tickets must have the following items printed on their face (example below):
   - Name (and identification number, if applicable*) of the authorized organization;
   - The location(s), date(s) and time(s) of the drawing(s);
   - The consecutively printed serial number of the ticket;
   - The price of the ticket;
   - A list of the prizes offered;
   - The statement: “Ticket holders need not be present to win.”
   - Each ticket stub or receipt shall reflect the name, address and telephone number of the ticket purchaser, and the consecutively printed serial number of the ticket.

(A sample of your ticket must accompany the Raffle Approval Form at the time of submission)

2. Proceeds from a single raffle shall not exceed the sum of $5,000
3. All raffle tickets shall be sold at a uniform price per ticket
4. The method of drawing and determining the winning ticket(s) shall be clearly announced and described prior to drawing a ticket
5. Each drawing shall be conducted openly and in plain view of the ticket purchasers present
6. No ticket shall be sold in conjunction with any raffle more than 180 days prior to the date scheduled for the drawing of the last ticket in that raffle
7. It is unlawful for persons eighteen years of age or younger to purchase raffle tickets, sell raffle tickets or conduct or assist in the administration of a raffle drawing
8. At the conclusion of the raffle you must maintain a final accounting (including number of tickets sold, price per ticket and total revenue collected from the raffle) and submit a copy to the Office of Legal Affairs within five (5) business days of the raffle drawing date
9. Records of the raffle (including financial accounting, number of tickets sold, name(s) of winner(s), accounting of the aggregate fair market value of prizes, advertising, etc.) shall be maintained by the sponsoring group for six (6) years in accordance with the RIT Records Management Policy, C22.0. If the total proceeds from all raffles conducted in one calendar year at RIT exceed $20,000.00, you will not be allowed to conduct the raffle

See rit.edu/fa/legalaffairs/content/frequently-asked-questions for more information
RAFFLE APPROVAL FORM

This form must be approved by the RIT Office of Legal Affairs OR the RIT AVP for Compliance & Ethics BEFORE and tickets are sold.

NAME OF GROUP/ORGANIZATION/DEPARTMENT SPONSORING RAFFLE
_______________________________________________________________________________

CONTACT INFORMATION
NAME: ___________________________________ PHONE: ________________________________
EMAIL: ________________________________

RAFFLE INFORMATION
DATES RAFFLE TICKETS WILL BE SOLD BEGIN: _________ END: ________________
DATE, TIME AND LOCATION OF RAFFLE DRAWING
_______________________________________________________________________________

NAME OF CHARITY/ORGANIZATION/DEPARTMENT RECEIVING PROCEEDS FROM RAFFLE
_______________________________________________________________________________

DO YOU EXPECT TO COLLECT MORE THAN $4,999.00 FROM TICKET SALES AT THIS RAFFLE?
☐ YES ☐ NO

LIST OF PRIZE(S) TO BE AWARDED
Fair market value of each prize must be listed
_______________________________________________________________________________
_______________________________________________________________________________
_______________________________________________________________________________
(attach additional pages if necessary)

CERTIFICATION
I HAVE READ THE RAFFLE RULES AND APPROVAL PROCESS DOCUMENT AND CERTIFY THAT I HAVE COMPLIED WITH ITS PROVISIONS AS OF THIS DATE.
SIGNATURE__________________________________________________________DATE____________________

APPROVED: ☐ YES ☐ NO RIT OFFICE OF LEGAL AFFAIRS
☐ YES ☐ NO RIT AVP FOR COMPLIANCE AND ETHICS

SIGNATURE__________________________________________________________

LICENSE: ☐ NO ☐ YES LICENSE NUMBER/DATE

RETURN COMPLETED FORM TO:
RIT OFFICE OF LEGAL AFFAIRS, 3210 UNIVERSITY SERVICES CENTER