There is high demand for cut-sheet papers for home and office inkjet and laser printers that offer enhanced print quality with reliable and consistent printing. ColorLok® papers provide these benefits and are available worldwide from a growing number of paper manufacturers. ColorLok® papers are sold under various brands through retail, Internet, catalog, and contract stationers.

This backgrounder gives details about the ColorLok® Technology and brand, their benefits and performance, and how manufacturers can join the ColorLok® Program. The differences between the ColorLok® and ColorPRO® programs are mentioned briefly.

What are ColorLok® Papers?

ColorLok® papers represent a standard for improved home and office printing using both inkjet and laser printers. Packaging of cut-sheet papers displaying the recognizable ColorLok® brand concisely communicates the benefits of ColorLok® papers to shoppers, and it assures them that the paper has passed a concise set of print quality, physical and electrostatic standards for quality and reliability.

ColorLok® terminology applies to a brand, a technology, a set of performance standards, and a licensing program. The ColorLok® brand is a registered trademark of International Paper Company (“IPC”). Both IPC and HP have the right to license the use of the trademark to suppliers who participate in the ColorLok® Program.

ColorLok® and ColorPRO are different programs for improving paper performance, managed independently, each with its own performance objectives. The ColorLok® program is focused on home and office printing; the ColorPRO program is currently focused on commercial and industrial digital printing applications. In some cases, the technologies used to achieve ColorLok® and ColorPRO performance specifications could be the same; however, the performance specifications for each program are unique to their respective applications. Paper production processes and chemistries may be optimized to meet different objectives.

ColorLok® Brand

The ColorLok® brand on paper packaging is easily recognizable and effectively communicates key benefits of papers that meet ColorLok® performance specifications.

A 2008 study conducted by International Paper Company in collaboration with HP showed that more than 93% of respondents rated ColorLok® Technology benefits “appealing” with more than 60% responding with “very” or “extremely” appealing. 83% responded that inclusion of ColorLok® Technology in a paper would positively impact their intent to purchase.

ColorLok® Technology

Pigment particles are the colorant used in many inkjet inks for home and office printers. Pigments are suspended in a colorless liquid, called the ink vehicle. Most of these pigments are anionic, that is they have a negative surface charge. When pigments come into contact with a cationic, or positively charged, salt they rapidly come out of suspension or flocculate. When the salt is added during paper manufacture, it provides a means to rapidly immobilize pigments at the paper surface. This allows the ink vehicle to penetrate the paper without transporting the pigment particles into the paper. Rapid pigment immobilization also reduces “feathering” from capillary forces that cause ink vehicle to wick along surface fibers.

Figure 1 shows photomicrographs of cross-sections of HP Multipurpose Paper without ColorLok® Technology (left) and with ColorLok® Technology (right). Note that without ColorLok® Technology, black pigment particles are carried deep into the porous sheet reducing optical density and increasing “strikethrough”, where the image

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2 This report is not publicly available.
3 Different divalent salts have been shown to flocculate pigments.
4 Printed with HP black pigment ink using an HP Photosmart Pro B9180 printer with default plain paper print mode settings.
printed on the front of the sheet is visible on the back. With ColorLok® Technology, pigments remain at and near the paper surface for high optical density and reduced strikethrough.

Figure 1. Cross sections of HP Multipurpose Paper without ColorLok® Technology (left) and with ColorLok® Technology (right)

![Cross sections of HP Multipurpose Paper without ColorLok® Technology and with ColorLok® Technology](image)

Image source: HP

Figure 2 shows how ColorLok® Technology reduces feathering to provide higher edge sharpness. HP Multipurpose Paper without ColorLok® Technology is shown on the left; HP Multipurpose Paper with ColorLok® Technology is shown on the right. Flocculating the pigments significantly reduces colorant transport along paper fibers on the surface from printed into unprinted areas. In addition, an increase in black optical density is apparent in the sample with ColorLok® Technology.

Figure 2. Improved Edge Sharpness with ColorLok® Technology (right)

![Improved Edge Sharpness with ColorLok® Technology](image)

Image source: HP

The pigment flocculation process offers several important benefits for inkjet printing on porous, uncoated papers:

- Pigments remain near the paper surface instead of penetrating the sheet
  - improving optical density
  - improving color saturation
  - increasing color gamut
  - reducing strikethrough
- Pigment are rapidly immobilized
  - reducing feathering
  - improving edge sharpness in text and graphics
  - reducing color-to-color bleed
  - reducing ink transfer
  - reducing smearfast dry time

5 In extreme cases, colorant may be carried completely through the sheet to the back side.
ColorLok® standards also include specifications for paper surface electrical resistivity, filler particle size limits, sheet moisture content, and surface smoothness. Control of these properties enhances performance and reliability of home and office laser printers.

**ColorLok® Performance**

Papers meeting ColorLok® performance standards provide significant features and benefits to users of inkjet and laser printers. These are summarized in the table below.

<table>
<thead>
<tr>
<th>Features</th>
<th>Benefits</th>
<th>Inkjet</th>
<th>Laser</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improved Optical Density</td>
<td>Darker black, bolder text</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Improved Line Raggedness</td>
<td>Sharper text and graphics</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Improved Color Gamut</td>
<td>Vibrant images, brilliant colors</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Reduced Strikethrough</td>
<td>Improved duplex printing</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Reduced Color-to-Color Bleed</td>
<td>Sharper lines, graphics, and text</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Faster Dry Time</td>
<td>Reduced smearing and ink transfer</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Consistent Filler Particle Size</td>
<td>High reliability</td>
<td></td>
<td>✔</td>
</tr>
<tr>
<td>Consistent Surface Smoothness</td>
<td>Better print quality and reliability</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Consistent Surface Electrical Resistivity</td>
<td>Fewer defects, better print quality</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Consistent Coefficient of Friction</td>
<td>Reliable paper pick and transport for dependable printing results</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Consistent Stiffness</td>
<td></td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Consistent Moisture Content</td>
<td></td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Consistent Edge Quality</td>
<td></td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Consistent Pre-print Curl</td>
<td></td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Rigorous Performance Standards and Third-Party Auditing</td>
<td>Consistent printing performance</td>
<td>✔</td>
<td>✔</td>
</tr>
</tbody>
</table>

**The ColorLok® Licensing Program**

HP owns intellectual property around technology for immobilizing colorants in inkjet inks. HP partnered with International Paper to bring this technology to market and to develop the set of performance, physical, and electrostatic specification that defines the ColorLok® performance standard.

IPC owns the ColorLok® trademark, however HP and IPC both have the right to license the use of the ColorLok® trademark to other paper companies who participate in the ColorLok® Licensing Program.

**ColorLok® benefits**

The ColorLok® Program offers benefits to both manufacturers and consumers of home and office printing products.

*For end-users,* ColorLok® papers provide high quality, reliable, and consistent printing performance on both inkjet and laser printers.

*For office managers and paper purchasers,* ColorLok® papers simplify paper purchasing with a readily-available paper that offers high performance in both inkjet and laser printers.

*For paper manufacturers, retailers, and distributors,* the ColorLok® brand differentiates their portfolios from commodity papers through positive customer acceptance of the ColorLok® value proposition. ColorLok® compliance positions the paper supplier as a market leader committed to high levels of

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6 Features are related to quantitative specifications for ColorLok® papers.
performance and customer satisfaction. This produces opportunities to grow market share, increase margins over commodity offerings, and gain access to new businesses and accounts.

For printer manufacturers, ColorLok® papers deliver an improved customer printing experience with papers offering higher output quality, improved handling, and better printer reliability.

Producing ColorLok® papers

All papers that carry the ColorLok® brand must meet a concise set of paper surface quality, physical and electrostatic performance metrics across a variety of factors related to image quality, dry time, and printer runnability. HP can provide detailed ColorLok® specifications under a confidential disclosure agreement (CDA) to paper companies and partners interested in joining the ColorLok® Program. Visit http://www.hp.com/hpinfo/abouthp/iplicensing/colorlok-contactus.html for more information.

ColorLok® papers employ performance-based paper standards that are not tied to a single chemistry or technology. Paper companies can elect to develop their own approach to meeting ColorLok® requirements, or they can contact HP or IPC regarding a license to use ColorLok® Technology.

Companies offering ColorLok® papers may use alternative means and technologies to reach the minimum performance requirements for ColorLok® certification, and they may exceed these minimum requirements with unique attributes that differentiate their products from other ColorLok® papers.

HP and IPC recognize that to better serve the customer and printing industry, it is necessary to work with other paper and printer manufacturers to endorse innovation in paper technology, to produce papers meeting the ColorLok® performance standard, and to make ColorLok® papers more available to customers worldwide.

There are several key factors a prospective ColorLok® partner must consider to obtain approval to use the ColorLok® brand:

- Papers must be submitted for testing and performance qualification to the Printing Applications Laboratory at the Rochester Institute of Technology (RIT-PAL). RIT-PAL is the worldwide authority to qualify and audit compliance with ColorLok® performance standards. Any paper company may submit papers for testing and qualification to RIT-PAL. For details, click on the ColorLok® Paper Qualification Program tab at http://www.printlab.rit.edu/

- The right to use the ColorLok® brand can be licensed either from HP or IPC. Please contact either company to understand the terms and conditions of these licenses. HP may be contacted at http://www.hp.com/hpinfo/abouthp/iplicensing/colorlok-contactus.html.

- The ColorLok® Program is a performance-based standard and is not tied to a single technology. Paper companies can elect to develop their own approach to meeting the ColorLok® requirements, or they may contact HP regarding the use ColorLok® Technology and HP-owned paper enhancing technology that meets ColorLok® performance standards. ColorLok® is HP-owned paper enhancing technology which can be licensed only by HP under the terms of a Technology License Agreement. For details, visit http://www.hp.com/hpinfo/abouthp/iplicensing/colorlok-contactus.html.
ColorLok® Program Sponsors

The ColorLok® Program has received broad industry support that is increasing the worldwide availability of ColorLok® papers as well as growing the use of ColorLok® papers through printer manufacturers’ recommendations to their customers. These efforts will help to establish ColorLok® as a performance standard for home and office papers that may form the basis for future improvements in printing performance.

As of January 2010, papers from worldwide sources including paper mills, merchants, and products branded by office supply retailers have passed the ColorLok® performance specifications and display the ColorLok® brand. Current sponsors of the ColorLok® Program include:

- Antalis – Europe
- APRIL – Worldwide
- Copamex – Latin America
- Domtar – North America
- Georgia Pacific – North America
- HP – Worldwide
- International Paper – Worldwide
- JK Papers - India
- Kodak – Europe and Asia
- M-real – Worldwide
- Mondi – Europe
- Office Depot – North America and Europe
- Papyrus – Europe
- Staples – North America and Europe
- Stora Enso – Europe
- Suzano – Latin America

Summary

The rigorous performance specifications for ColorLok® papers provide users in the home and office with a high-quality, reliable, and consistent printing experience. For paper and printer manufacturers and paper suppliers, the ColorLok® Program offers members a means to differentiate their products with a value proposition that is both recognizable and appealing to consumers.

For more information

To learn more about ColorLok® benefits, visit http://www.colorlok.com

To learn more about the HP ColorLok® licensing program, visit http://www.hp.com/hpinfo/abouthp/iplicensing/colorlok-contactus.html

To learn more about ColorLok® qualification and testing, visit http://www.printlab.rit.edu/

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